

DIRECTION FOR USES HOME TRADING

1.1. Log in system

Access to login screen:



The screenshot shows a web browser window titled "HTS Authentication". The page features the Phu Hung Securities logo at the top, followed by the text "Online Trading". Below this is a "LOGIN" section with the following fields and options:

- Username:** A text input field.
- Password:** A text input field.
- Languages:** A dropdown menu currently set to "[English, en-US]".
- Server:** A dropdown menu currently set to "WAN : 123.30.89.9".

At the bottom of the login section are two buttons: "Login" and "Exit".

Note: For foreign customer, please enter your full trading code number "022FISxxxx" or "022FIAxxxx"

At the bottom of the page, there are links for "PHS Homepage" and "Contact", and a copyright notice: "Copyright © Phu Hung Securities Corporation. Developed by FSS."

Step 1: Input information

- Username: Account number of customer at PHS

- Password: Login password provided by PHS
- Language: Customer can choose 03 kinds of languages Vietnamese, English & Chinese.

Step 2: Click on “Login” to login the system

Step 3: Click on “Exit” to cancel login

1.2. Live Board

There are 03 kinds of live board:

1. Display price board of all market
2. Display detail information about 01 stock code
3. Display detail information about 06 favourite stocks code

1.2.1. Live Board 1

 Customer click on number 1 to go to Live Board 1:



Symb...	Ceil.	Floor	Ref.	Bid			Trade			Offer			Total	Open	High	Low	Forei...	Forei...	
				Price3	Vol3	Price2	Vol2	Price1	Vol1	Price	Vol	+/-							Price1
BVH	62.0	54.0	58.0												58.0				
CII	29.5	25.7	27.6												27.6				
CSM	43.9	38.3	41.1												41.1				
CTG	23.8	20.8	22.3												22.3				
DIG	13.2	11.6	12.4												12.4				
DPM	32.1	27.9	30.0												30.0				
DRC	55.5	48.4	52.0												52.0				
EIB	14.9	13.1	14.0												14.0				
FPT	49.8	43.4	46.6												46.6				
GMD	34.7	30.3	32.5												32.5				
HAG	19.5	17.1	18.3												18.3				
HPG	30.9	26.9	28.9												28.9				
HSG	46.8	40.8	43.8												43.8				
IJC	12.9	11.3	11.7												11.7				
KDC	49.0	42.6	45.8												45.8				
MBB	17.4	15.2	16.3												16.3				
MSN	93.0	81.0	87.0												87.0				
OGC	2.6	2.4	2.5												2.5				
PGD	37.4	32.6	35.0												35.0				
PNJ	41.8	36.4	39.1												39.1				
PVD	59.0	52.0	55.5												55.5				
PVF	0.0	0.0	0.0												0.0				
REE	29.7	25.9	27.8												27.8				
SBT	15.7	13.7	14.7												14.7				
SSI	27.8	24.2	26.0												26.0				
STB	21.0	18.4	19.7												19.7				
VCB	56.0	48.9	52.5												52.5				
VIC	47.4	41.2	44.3												44.3				
VNM	123.0	107.0	115.0												115.0				
VSH	14.5	12.7	13.6												13.6				

See live board by Stock Exchanges:

Categories	VNI	Bid	Trade	Offer	Total	Open	High	Low	Forei...	Forei...
BVH	6					58.0				
CII	29.5	25.7	27.6			27.6				
CSM	43.9	38.3	41.1			41.1				
CTG	23.8	20.8	22.3			22.3				
DIG	13.2	11.6	12.4			12.4				
DPM	32.1	27.9	30.0			30.0				
DRC	55.5	48.4	52.0			52.0				
EIB	14.9	13.1	14.0			14.0				
FPT	49.8	43.4	46.6			46.6				
GMD	34.7	30.3	32.5			32.5				
HAG	19.5	17.1	18.3			18.3				
HPG	30.9	26.9	28.9			28.9				
HSG	46.8	40.8	43.8			43.8				
IJC	12.9	11.3	11.7			11.7				

Select Stock Exchange in the list:



➤ Favorites:

This Live Board includes favorite stock code of customer

In favorite list, customer can add in or remove stock code.

In order to add in stock code in favorite list, customer need to put in stock code in the white box in the left and at the end of price board, after that click enter.

Symb...	Ceil.	Floor	Ref.	Bid			Trade			Offer			Total	Open	High	Low	Forei...	Forei...		
				Price3	Vol3	Price2	Vol2	Price1	Vol1	Price	Vol	+/-							Price1	Vol1
BSI	12.8	11.2	12.0												12.0					
BVS	16.9	13.9	15.4												15.4					
FPT	49.8	43.4	46.6												46.6					
HAG	19.5	17.1	18.3												18.3					
VND	15.2	12.6	13.9												13.9					
VNM	123.0	107.0	115.0												115.0					

To remove 01 stock code in favorite list, customer right click on that stock code: click on remove.

Symb...	Ceil.	Floor	Ref.	Bid			Trade			Offer			Total	Open	High	Low	Forei...	Forei...		
				Price3	Vol3	Price2	Vol2	Price1	Vol1	Price	Vol	+/-							Price1	Vol1
BSI	12.8	11.2	12.0												12.0					
BVS	16.9	13.9	15.4												15.4					
FPT	49.8	43.4	46.6												46.6					
HAG	19.5	17.1	18.3												18.3					
VND	15.2	12.6	13.9												13.9					
VNM	123.0	107.0	115.0												115.0					

Customer can place order directly from live board by right click on stock code which customer want to place, next:

- Select Buy or press F3 to place buy order with that stock code
- Select Sell or press F4 to place sell with that stock code

When customer place quick order in live board, Buy/Sell, Order type, Stock code...this will be updated automatically to screen of order placing:

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

Orders Dashboard 1

Account: 022C.004694 Sub account: 0113004694-NGUYỄN THỊ HOA-Thuờng Search

VN-INDEX HNX-INDEX UPCOM

(**) Khối lượng x 10, giá x 1000

Order type: Normal order

Buy/Sell: BUY

Mã CK:

Order: LO

Giá:

Khối lượng:

Divide quantity:

Default quantity

Total value order: 0

BUY Refresh

Insert to basket

Account information Update

Cash information

Cash: 233,059,859

Advance amount: 0

Best purchase power: 233,059,039

Max buying quantity: 0

Margin real rate: 100,000.00%

Maintenance rate: 0.00%

Trading balance

Available stock: 0

Receiving: 0

Blocked: 0

Mortgage stock: 0

	BUY	SELL
0	0	0
0	0	0
0	0	0
Open	0	0
High	0	0
Low	0	0
Ref	0	0
Quantity	BUY	SELL
0	0	0
Foreign buy	0	0
Room NN	0	0
Total Room	0	0

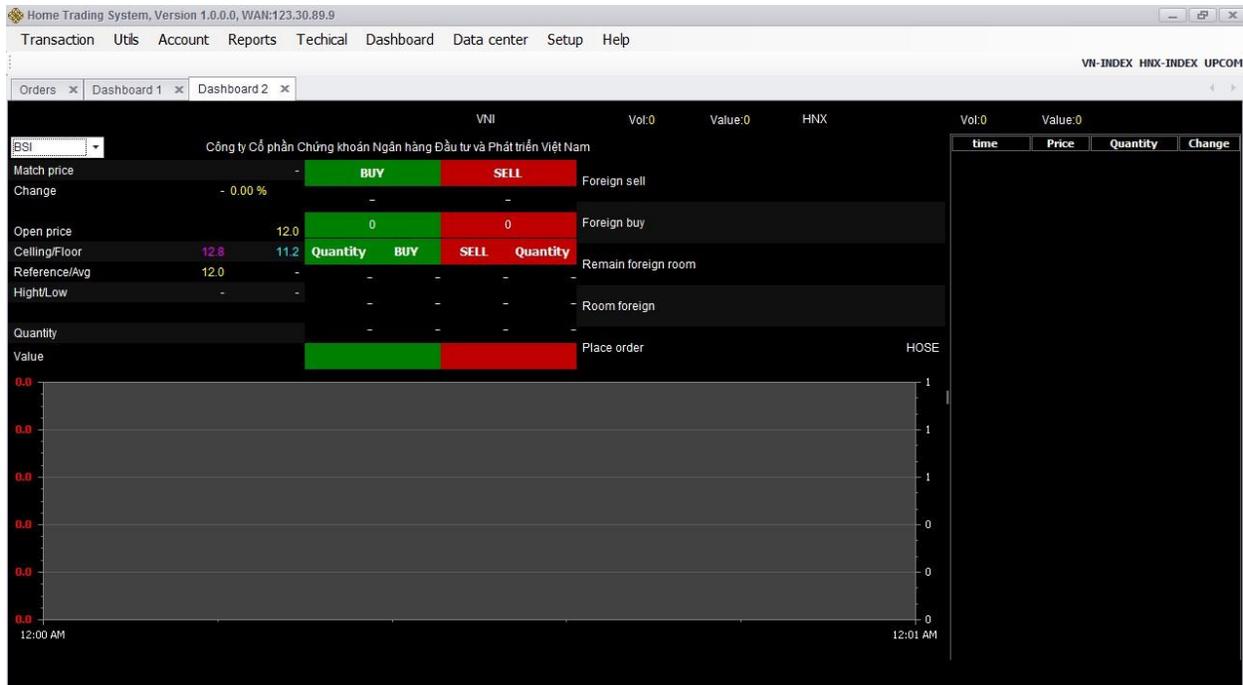
Sub acco...	Symbol	Buy	Sell	Trade	Limited trading	Block quantity	Mortgage quantity	Receivable T0	Receivable T1	Receivable T2	Buying match quantity	Buying order quant
0113004...	ACB	Buy	Sell	100	0	0	0	0	0	0	0	0
0113004...	FPT	Buy	Sell	5,000	0	0	0	0	0	0	0	0
0113004...	HHS	Buy	Sell	1,000	0	0	0	0	0	0	0	0

- HNX30
- VN30

1.2.2. Live Board 2

Description: Live Board 2 displays detail information about 01 stock code

Customer want to see detail information of any stock code, select stock code at the live board 2, end then click Enter:



1.2.3. Live Board 3

Description: Live Board 3 displays detail information about 06 favorite stocks code
 Customer click on number 3 to see Live Board 3:



Customer can see information of stock code by the way to put in stock code which customer want to see in white box in each information box end then click Enter.

On Live Board 3, customer can see 03 information of stock code:

- General: to view general information about the stock code
- Chart
- Information

1.2.3.1. General

Customer click on **General** to see general information of stock code:



1.2.3.2. Chart

Customer click on **Chart**:



1.2.3.3. Information

Customer click on **Information**, system will automatic link to PHS website to see relevant information of stock code.

1.3. Place orders screen

1.3.1. Place order

1.3.1.1. Place normal order

Step 1: Enter the following order placing information:

- Sub Account: Customer select sub account
- Order type: Normal/Condition
- Buy/Sell: Buy/Sell/Mortgage Sell
- Stock code: enter the stock code that you want to order
- Type of order: for each stock code of each Stock Exchange, system will display order type commensurate with order type of each Stock Exchange.
- Price: Buy/Sell price must be in the range of ceiling-floor price and comply with the prescribed price variation of each stock exchanges.
- Volume: the stock volume that customer wants to place which must comply with the prescribed lot quantity of each stock exchanges.
- Total order value: $\text{Volume} * \text{Price}$

The screenshot displays the Home Trading System interface. The top menu includes Transaction, Utils, Account, Reports, Technical, Dashboard, Data center, Setup, and Help. The account information section shows the account number 022C.004694 and sub-account 0113004894-NGUYỄN THỊ HOÀ-Thuờng. The order entry form is set to a Normal order, Buy/Sell type, with a Buy order type and LO order type. The account information section shows Cash of 233,059,859, Advance amount of 0, Best purchase power of 233,059,039, Max buying quantity of 0, Margin real rate of 100,000.00%, and Maintenance rate of 0.00%. The trading balance section shows Available stock of 0, Receiving of 0, Blocked of 0, and Mortgage stock of 0. The order entry form has buttons for BUY, Refresh, and Insert to basket. The bottom section shows a table with columns for Sub acco..., Symbol, Buy, Sell, Trade, Limited trading, Block quantity, Mortgage quantity, Receivable T0, Receivable T1, Receivable T2, Buying match quantity, and Buying order quantit.

Sub acco...	Symbol	Buy	Sell	Trade	Limited trading	Block quantity	Mortgage quantity	Receivable T0	Receivable T1	Receivable T2	Buying match quantity	Buying order quantit
0113004...	ACB	Buy	Sell	100	0	0	0	0	0	0	0	0
0113004...	FPT	Buy	Sell	5,000	0	0	0	0	0	0	0	0
0113004...	HHS	Buy	Sell	1,000	0	0	0	0	0	0	0	0

Note:

✚ When customer place buy order, system will display buying power, maximum buying quantity of customer.

Buying volume of customer must be smaller or equal to the maximum buying volume.

Maximum buying quantity: system regulates buying power by floor price. If customer enter order price, system regulates buying power by order price.

✚ When customer place Sell/Mortgage Sell, system will display available Stock Balance and mortgage balance.

The screenshot shows the Home Trading System interface. On the left, there is an order entry form with fields for Order type (Normal order), Buy/Sell (BUY), Mã CK (FPT), Order (LO), Giá (46.6), and Khối lượng (1,000). The total value order is 46,600,000. In the center, account information is displayed, including Cash (233,059,859), Best purchase power (233,058,957), and Max buying quantity (4,980). On the right, there is a market data table for FPT - Công ty Cổ phần FPT, showing Buy and Sell quantities and prices.

- For normal Sell: Sell Quantity \leq Available Stock
- For mortgage Sell: Mortgage Quantity \leq Mortgage Stock

Step 2: Click on “SELL”/“BUY” to place order

Step 3: Enter trading password and Click on “Confirm” to execute order:

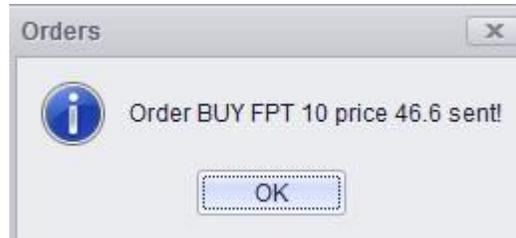
The screenshot shows the 'Approve order' dialog box. It displays the order execution date as 05/05/2015. The sub account is 0001046941 and the account holder is NGUYỄN THỊ HOÀ. The order details are as follows:

Bid/Sell	Code	Volume	Order type	Price
Bid	FPT	1,000	LO	48,000

Additional information includes an estimate fee of 72,000 (0.15%) and a total expected value of 48,072,000. A trading password field is present with a 'Save' checkbox. A large green 'Bid' label is displayed on the right. At the bottom, there are 'Confirm' and 'Close' buttons.

Step 4: Click on “Close” to close approve order screen.

Step 5: When place order success, system display:



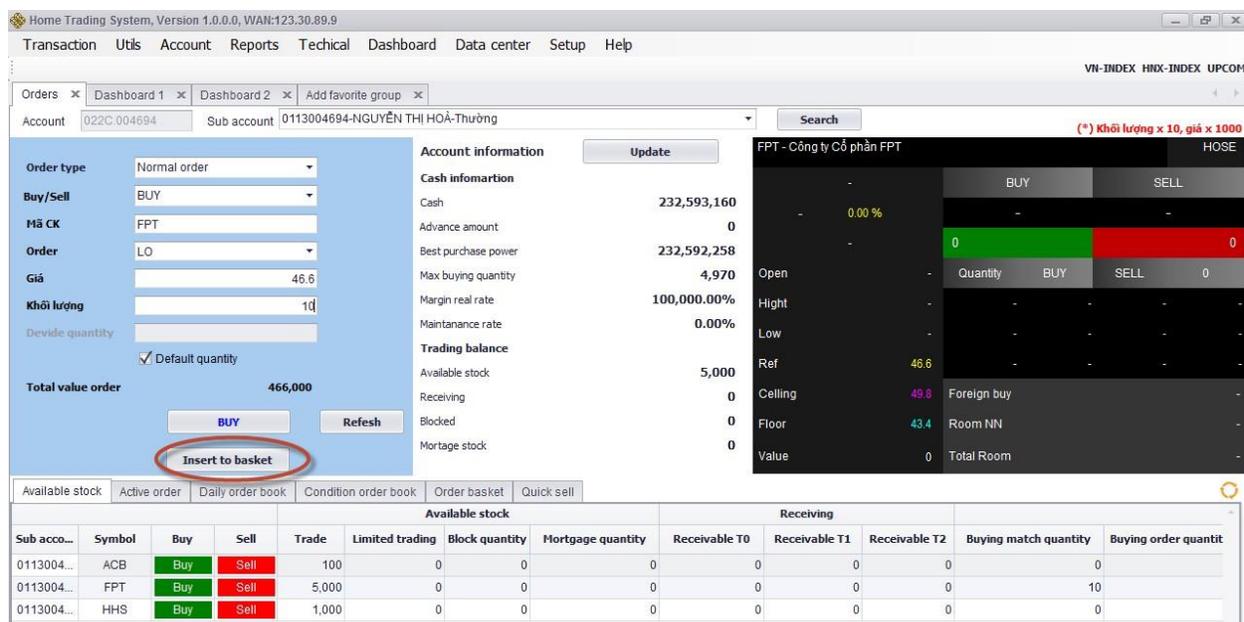
Step 6: Click on  to finish order placing.

1.3.1.2. Put into basket

Step 1: Enter the following information:

- Sub Account: Customer select sub account
- Order type: Normal/Condition
- Buy/Sell: Buy/Sell/Mortgage Sell
- Stock code: enter the stock code that you want to order
- Type of order: for each stock code of each Stock Exchange, system will display order type commensurate with order type of each Stock Exchange.
- Price: Buy/Sell price must be in the range of ceiling-floor price and comply with the prescribed price variation of each stock exchanges.
- Volume: the stock volume that customer wants to place which must comply with the prescribed lot quantity of each stock exchanges.
- Total order value: $\text{Volume} * \text{Price}$

Note: When putting orders into the basket, customer does not need to enter authentication code.



Step 2: Click on “Insert to basket” to put orders into basket.

Step 3: Click on “Refresh” to cancel the entered information

Step 4: After click on “Insert to basket” the system will display the message:



Step 5: Click on “OK” to finish putting orders into basket.

1.3.2. Available Stock

Description: Monitor will display information on existing stocks of customer which is available to buy/sell:

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

Orders Dashboard 1 Dashboard 2 Add favorite group Available stocks

Account: 022C.004694 Sub account: 0113004694-NGUYỄN THỊ HOÀ-Thường

Drag a column header here to group by that column

Order	Symbol	Total	Available	Limit	Blocked	CA	Mortgage stock			Receiving			Average	Cost price
							VSD	Available for	Block	T0	T1	T2		
SELL	ACB	100	100	0	0	0	0	0	0	0	0	0	0	0
SELL	FPT	5,000	5,000	0	0	0	0	0	0	0	0	0	0	0
SELL	HHS	1,000	1,000	0	0	0	0	0	0	0	0	0	0	0
SELL	TP4A3604	100	100	0	0	0	0	0	0	0	0	0	0	0

1.3.3. Order basket

Description: You can choose one or more orders from the basket to put. Orders meet eligible collateral condition will be sent. Orders that do not meet the eligibility margin remained in command basket:

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

Orders Dashboard 1 Dashboard 2 Add favorite group Available stocks

Account: 022C.004694 Sub account: 0113004694-NGUYỄN THỊ HOÀ-Thường

Order type: Normal order

Buy/Sell: [Dropdown]

Mã CK: [Input]

Order: LO

Giá: [Input]

Khối lượng: [Input]

Devide quantity: [Input]

Default quantity

Total value order: 0

BUY Refresh

Insert to basket

Account information Update

Cash infomation

Cash: 232,593,160

Advance amount: 0

Best purchase power: 232,592,258

Max buying quantity: 0

Margin real rate: 100,000.00%

Maintenance rate: 0.00%

Trading balance

Available stock: 0

Receiving: 0

Blocked: 0

Mortgage stock: 0

VNM - Công ty Cổ phần Sữa Việt Nam HOSE

BUY SELL

0.00%

0

Open

Quantity BUY SELL 0

High

Low

Ref 115.0

Foreign buy

123.0

Room NN

Floor 107.0

Total Room

Value 0

Available stock Active order Daily order book Condition order book **Order basket** Quick sell

Checkout	Cancel	Order no	Account	Sub acctno	Symbol	Buy/Sell	Status	Order	Order price	Total value
<input type="checkbox"/>	<input type="checkbox"/>									
<input type="checkbox"/>	<input checked="" type="checkbox"/>	6	022C004694	0113004694	FPT	Mua	Chờ xử lý	10	46,600	466,000
<input type="checkbox"/>	<input checked="" type="checkbox"/>	7	022C004694	0113004694	VNM	Mua	Chờ xử lý	10	120,000	1,200,000

On this screen, customer can place separated order or group of orders by marking at the square next to the order that you want to place then click the button “Checkout” Customer can place all orders by marking at the square next to button “Checkout”

After customer completes order selection, on screen will appear:

Duyệt lệnh nhóm

Tài khoản: 022C909090 Tên chủ tài khoản:

CÁC LỆNH HỢP LỆ

Số tài khoản	Số tiểu khoản	Mã CK	Mua/B...	Khối lượng	Loại lệnh	Giá	Sau cùng	Thay đổi	Mua	Bán	Khối lượng
022C909090	0001000013	FPT	Bán	3,000	LO	55,000	0	0	0	0	0

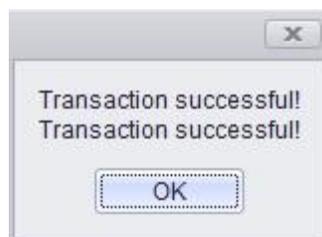
Phí dự kiến: 247,500 (0,15 %)

Mã xác nhận:

Lưu ý: Vui lòng kiểm tra cẩn thận thông tin lệnh đặt trên.

Xác nhận Đóng

Enter the PIN code and click the button “**Confirm**” to place orders, click “**Close**” if you want to cancel the order.



Click the button  to complete the order.

Note:

- The orders placed successfully will be transformed into operational order.
- The invalid orders as beyond buying power of the sub-account for the buying orders or exceed the amount of stock for selling orders will not be converted into active orders and will be canceled at the end of the trading day.

1.3.4. Daily order book

Description: This function will display all the orders that customers place within a day:

Account	Sub account	Symbol	Time	Buy/Sell	Status	Order type	Via	Order quantity	Price order	Total value	March quantity	Avg march price
022C004694	0113004694	FPT	15:47:02	BUY	All matched 10/10	LO	Home	10	46,600	466,000	10	46,600
022C004694	0113004694	HHS	16:15:53	BUY	All matched 100/100	LO	Home	100	21,400	2,140,000	100	21,400

1.4. Utilities

1.4.1. Payment in Advance

1.4.1.1. Payment in Advance (PIA)

Step 1: On menu bar, select function “Utils” => “Payment in advance”

Advance amount	Pending advance amount	Max receiving amount	Days advance
0	0		1
0	0		2
4,967,550	0		5
Tổng cộng	0	4,957,118	

Step 2: Enter the desired Payment in advance (PIA) amount:

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

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VN-INDEX HIX-INDEX UPCOM

Orders Advance payment

Account: 022C.004694 Sub account: 0113004694-NGUYỄN THỊ HOÀ-Thường

Selling date	Receiving amount (exclude fee and tax)	Executed advance amount	Pending advance amount	Max receiving amount	Days advance
10/08/2015	0	0	0		1
11/08/2015	0	0	0		2
12/08/2015	4,967,550	0	0		5
Tổng cộng	4,967,550	0	0	4,957,118	
				1,000,000	

Thời gian đặt lệnh ứng trước trong ngày bắt đầu từ 08h00 đến 16h00

Accept Refresh

Step 3: Click on “Accept” to make payment in advance

Step 4: Click on “Refresh” to cancel the entered information **Step**

5: Confirm PIA order and enter trading password:

Advance confirm

Sell date	Receive date	A	Allocation value
Phí	10/08/2015	-	-
-	-	11/08/2015	-
-	-	-	12/08/2015

Executed AD amount **1,000,000**

Advance fee **2,105**

Subaccount **0113004694** | Fullname **NGUYỄN THỊ HOÀ** | Pin:

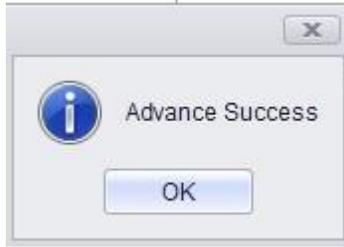
Warn : You are ordering in advance, be reviewed carefully

Confirm Close

Note: When make payment in advance: PIA amount of customer = PIA request amount + Advance fee.

Step 6: Click on “Confirm”

Step 7: Upon successful PIA, the system will display a notice of success:



Step 8: Click on  to finish PIA.

1.4.1.2. PIA history

PIA history screen allows customer to look up the history of customer's PIA:

History advance												
		To date	12/08/2015	To date	12/08/2015							Tìm kiếm
Number	Sell date	Order date	Effective date	Net selling amount	Amount advance	Fee advance	Receiving net amount	Number advance	Trạng thái	Place orders	Note of stock company	
1	12/08/2015	12/08/2015	12/08/2015	4,967,550	1,002,105	2,105	1,000,000	5	Confirmed	Home Trading		

Step 1: Enter the following information:

- From date
- To date

Step 2: Click on “Filter” to display look up information.

Step 3: Click “Export” to export excel file or export PDF file.

1.4.2. Extend/Settle Margin Loan

The screen of Extend/Settler margin loan: select “Utils” => “Repayment”:

Drawdown amount	Maturity date	Paid principal	Remain principal	Accrued interest	Phí dịch vụ	Total outstanding
1,270,564	25/08/2015	0	1,270,564	41,090	0	1,311,654
3,187,705	12/08/2015	0	3,187,705	120,495	0	3,308,200
4,536,743	27/08/2015	0	4,536,743	142,907	0	4,679,650
4,869,400	11/08/2015	0	4,869,400	187,131	0	5,056,531
2,340,845	07/09/2015	0	2,340,845	63,905	0	2,404,750

1.4.2.1. Extend margin loan

Step 1: Select renew margin loan and click on “Extend”:

Action		Tran number	Release date	Drawdown amount	Maturity date	Paid principal	Remain principal	Accrued interest	Phi dich vu	Total outstanding
Settle	Extend	8029	27/05/2015	1,270,564	25/08/2015	0	1,270,564	41,090	0	1,311,654
Settle	Extend	7018	14/05/2015	3,187,705	12/08/2015	0	3,187,705	120,495	0	3,308,200
Settle		8349	29/05/2015	4,536,743	27/08/2015	0	4,536,743	142,907	0	4,679,650
Settle		6929	13/05/2015	4,869,400	11/08/2015	0	4,869,400	187,131	0	5,056,531
Settle		9260	08/06/2015	2,340,845	07/09/2015	0	2,340,845	63,905	0	2,404,750
Settle		10090	15/06/2015	4,033,724	14/09/2015	0	4,033,724	98,262	0	4,131,986
Settle		12489	08/07/2015	7,764,596	06/10/2015	0	7,764,596	114,140	0	7,878,736
Settle	Extend	7017	14/05/2015	1,992,576	12/08/2015	0	1,992,576	75,319	0	2,067,895

Step 2: Enter extend date and click on “Accept”.

Loan ID	Drawdown date	Drawdown amount	Maturity date	Paid amount	Remain principal	Accrued interest	Phi dich vu	Total outstand
Interest	8029	27/05/2015	1,270,564	25/08/2015	0	1,270,564	41,090	

Maturity date: 25/08/2015

Max Maturity date: 0

Days to extense: 0

Renew date: 0

Accept Cancel

1.4.2.2. Settle margin loan

Step 1: Select settle margin loan and click on “Settle”:

Settle		10090	15/06/2015	4,033,724	14/09/2015	0	4,033,724	98,262	0	4,131,986
Settle		12489	08/07/2015	7,764,596	06/10/2015	0	7,764,596	114,140	0	7,878,736
Settle	Extend	7017	14/05/2015	1,992,576	12/08/2015	0	1,992,576	75,319	0	2,067,895
Settle		11745	01/07/2015	2,559,334	29/09/2015	0	2,559,334	45,147	0	2,604,481
Settle		12288	07/07/2015	1,598,273	05/10/2015	0	1,598,273	24,166	0	1,622,439
Settle		8348	29/05/2015	1,532,584	27/08/2015	0	1,532,584	48,276	0	1,580,860
Settle		6588	07/05/2015	8,119,880	05/08/2015	4,320,217	3,799,663	323,688	0	4,123,351

Step 2: Enter payment margin loan amount and click on “Accept”:

Loan id	Release date	Drawdown amount	Maturity date	Paid amount	Remain principal	Accrued interest	Total outstanding	Interest
7017	14/05/2015	1,992,576	12/08/2015	0	1,992,576	75,319	2,067,895	15.12

Balance: 0

Payment amount:

Accept Cancel

1.4.2.3. Extend/Settle margin loan history

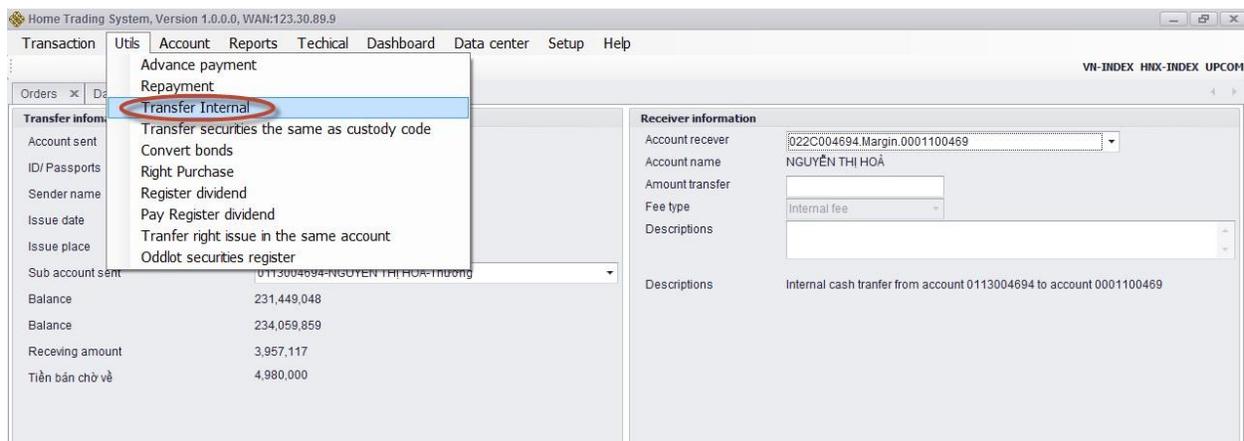
Customer can look up extend/settle margin loan history which are already done:

The screen of history:

Number	Sub account	Fullname	Loan id	Via	Transactions type	Maturity date	Payment amount	Status	Action date	Phí gia hạn	Mat
1	01C		8029	Home	Extend	25/08/2015	0	1,270,564	12/08/2015	0	2

1.4.3. Cash transfer

Customer select Tab “Utils” => “Cash transfer”



Description: Customers can make internal transfer with the same custody account number or external transfer with a bank account number and beneficiary name which have been registered in advance.

1.4.3.1. Internal transfer

1.4.3.1.1. Internal transfer on the same custody code

For internal transfer customer do not pay cash transfer fee.

1.4.3.1.2. Internal transfer on different custody code

Step 1: Select beneficiary account which is different custody code from account sent:

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utlis Account Reports Technical Dashboard Data center Setup Help

VN-INDEX HIX-INDEX UPCOM

Orders Dashboard 1 Transfer Internal

Transfer information		Receiver information	
Account sent	022C004694	Account receiver	022C089250.Thường.0113089250
ID/ Passports	025244644	Account name	NGUYEN THI HOA
Sender name	NGUYEN THI HOA	Amount transfer	
Issue date	31/03/2010	Fee type	Internal fee
Issue place	HCM	Descriptions	
Sub account sent	0113004694-NGUYEN THI HOA-Thường	Descriptions	Internal cash tranfer from account 0113004694 to account 0113089250
Balance	231,449,048		
Balance	234,059,859		
Receiving amount	3,957,117		
Tiền bán chờ về	4,980,000		

Step 2: Enter transfer amount:

Step 3: Click on “Accept”

Step 4: Click “Get password” and the system will send password to registered cellphone number of customer:

Xác nhận chuyển tiền

Người thụ hưởng

Số tài khoản nhận: 022C004694

Số tiểu khoản nhận: 0001100469

Tên người thụ hưởng: NGUYEN THI HOA

Chi tiết

Số tiền chuyển: 1,000,000

Phí chuyển tiền: 0

Thuế: 0

Số tiền thực nhận: 1,000,000

Nội dung chuyển tiền: Internal cash tranfer from account 0113004694 to account 0001100469

Số tiền còn lại: 230,449,048

Thời gian thực hiện: 17:59:46

Đồng ý Đóng

Quan trọng: Bạn đang đặt lệnh chuyển tiền. Hãy xét duyệt cẩn thận

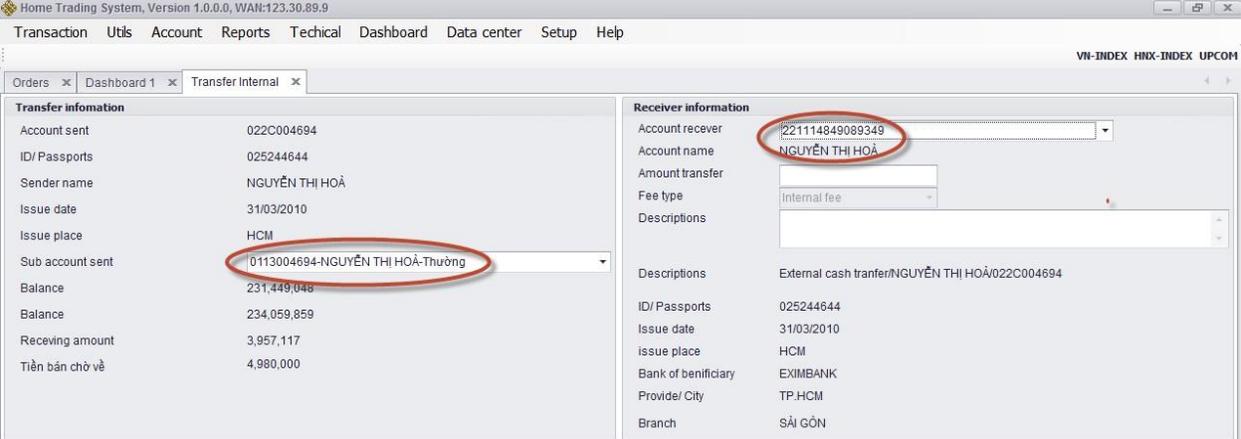
Step 5: After receive OPT password, customer keyin password into the OPT box. Click on “Submit” to execute transaction.

Step 6: If transfer success, system will display “Success transfer”

Step 7: Click vào nút  to finish transaction.

1.4.3.2. External transfer

Step 1: Select the beneficiary account which is the bank account number that customer registered:



Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

VN-INDEX HNX-INDEX UPCOM

Orders x Dashboard 1 x Transfer Internal x

Transfer information	
Account sent	022C004694
ID/ Passports	025244644
Sender name	NGUYỄN THỊ HOÀ
Issue date	31/03/2010
Issue place	HCM
Sub account sent	0113004694-NGUYỄN THỊ HOÀ-Thường
Balance	231,449,048
Balance	234,059,859
Receiving amount	3,957,117
Tiền bán chờ về	4,980,000

Receiver information	
Account receiver	221114849089349
Account name	NGUYỄN THỊ HOÀ
Amount transfer	
Fee type	Internal fee
Descriptions	
Descriptions	External cash tranfer/NGUYỄN THỊ HOÀ/022C004694
ID/ Passports	025244644
Issue date	31/03/2010
Issue place	HCM
Bank of beneficiary	EXIMBANK
Provider/ City	TP.HCM
Branch	SÀI GÒN

Step 2: Enter amount to be transferred

Note: The amount to be transferred must be less than or equal to the maximum amount to be transferred.

Step 4: Click on “Submit” to transfer cash

Step 5: Click “Get password” and the system will send password to registered cellphone number of customer:

Confirm money transfer information

Bank name

Bank of beneficiary: EXIMBANK
 Province/City: TP.HCM
 Branch: SÀI GÒN

Beneficiary

Bank account no: 221114849089349
 Beneficiary name: NGUYỄN THỊ HOÀ
 ID/ Passport: 025244644
 Issue date: 31/03/2010
 Issue place: HCM

Detail

Transfer amount: 1,000,000
 Fee transfer: 0
 Tax: 0
 Receiving net amount: 1,000,000
 Transfer descriptions: External cash tranfer/NGUYỄN THỊ HOÀ/022C004694 Cash transfer
 Remain amount: 230,449,048
 Time: 17:57:20
 Authen OTP: *****

Important: You are transfer. Please check the information again!

Step 6: Click on “OK”

Note: Upon making the external transfer, customer have to pay the transfer fee and tax.

Step 7: Click the “OK” button to accept the transfer information. When the money is transfer successfully, the system will display a notice of success.

1.4.3.3. Cash transaction history

Description: Cash transaction history screen allows customer to look up the history of cash transfer which has been successfully done.

Transfer history

From date: 07/08/2015 To date: 10/08/2015 Status: [ALL]

Order date	Effective date	Vouchers code	Custody code transfer	Sub account no	Amount transfer	Status	Type	Beneficiary name	Custody code receive	Sub account no Beneficiary
07/08/2015	07/08/2015	6800005974			50,000	Hoàn tất	Chuyển khoản tiền ra ngân hàng ...			210114849075... Onli

Step 1: Enter the following information:

- Status
- From date
- To date

Step 2: Click on “**Update**” to display look up information

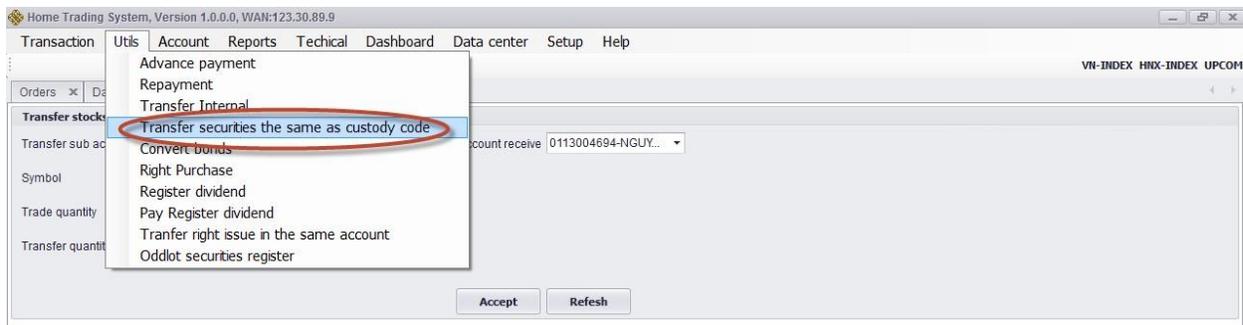
Step 3: Click “**Export**” to export excel file or export PDF file.

1.4.4. Transfer securities on the same custody code

1.4.4.1 Transfer securities

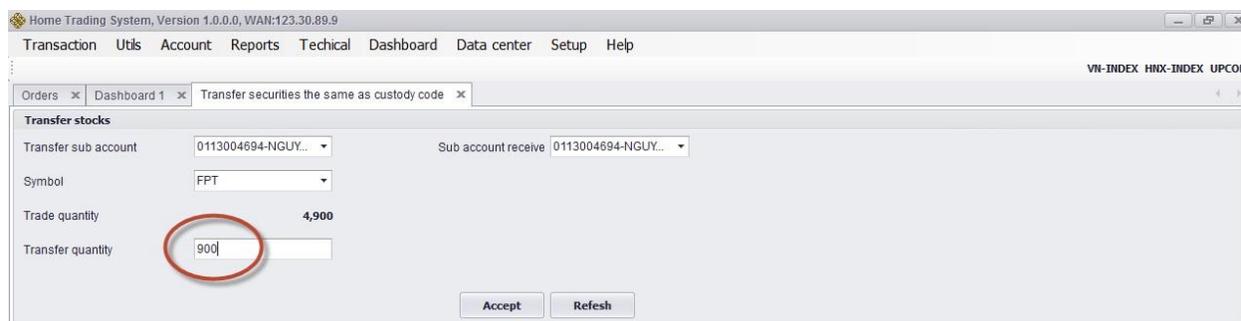
Description: Screen of transfer securities on the same custody code allows customer who have two sub-accounts: the first one is normal account and the second one is margin account on the same custody account. Customer is entitled to transfer securities between sub-accounts.

Step 1: select function “**Utilities**” => “**Transfer securities on the same custody code**”:

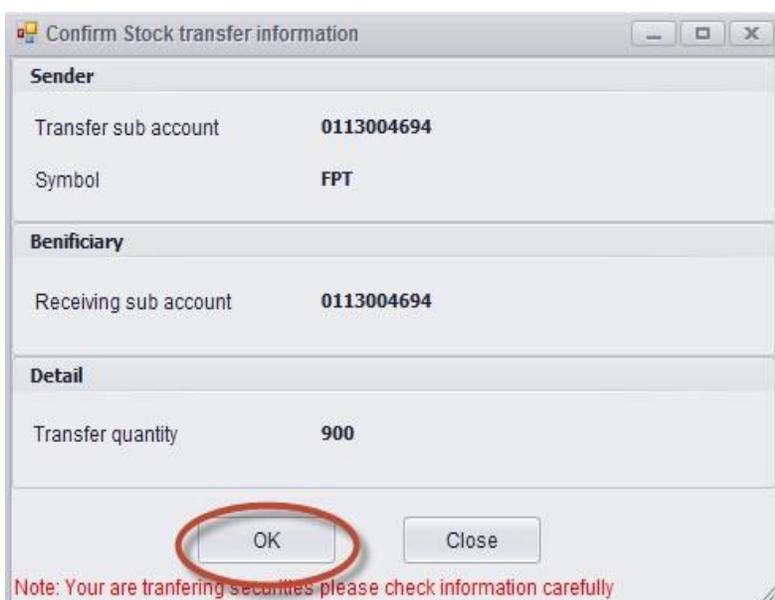


Step 2: Enter the following information:

- Transfer sub account
- Beneficiary sub account
- Stock code: includes available stock
- Volume to transfer: Volume to be transferred must be less than or equal to the maximum available volume to be transferred.



Step 3: Click on “Accept” to transfer stock



Step 4: Click on “Refresh” to cancel stock transfer

Step 5: When the stock is transfer successfully, the system will display a notice of success:



Step 6: Click “OK” to finish transaction.

1.4.4.2 Transfer securities history

Screen of securities transfer history allows customers to look up the history of the stock transfer that customers have made:

Effective date	TX number	Trader ID	Custodycd	Sub account	Quantity	Trading channel	Description
12/08/2015	6900000047	2242	022C0046...	0113004694	10	Home Trading	Internal transfer securities from sub account 0113004694 to sub account 0001100469

1.4.5. Register Right issue

1.4.5.1. Right issue portfolio

Description: Customer can register right to buy share with the shares included in “Right Issue Portfolio”.

Step 1: Select “Utils” => “Register right issue”

The screenshot shows the Home Trading System interface. The 'Utils' menu is open, and 'Right Purchase' is highlighted. Other options in the menu include Advance payment, Repayment, Transfer Internal, Transfer securities the same as custody code, Convert bonds, Register dividend, Pay Register dividend, Transfer right issue in the same account, and Oddlot securities register. The background shows a trading dashboard with various data points and a search bar.

After select, the screen will display:

The screenshot shows the Home Trading System interface displaying the 'CA Portfolio' table. The table has columns for Numb., Sub account, CA symbol, Bought quantity, Buy price, Total clearing amount to pay, and CA detail. The 'Register' and 'View' buttons in the CA detail column are circled in red.

Numb...	Sub account	CA symbol	Bought quantity	Buy price	Total clearing amount to pay	Đăng ký	CA detail
1	0101034693	KLS	1,000	0	10,000	10,000,000	Register View

Step 2: View the detail of right information: Click “View” to see right detailed information of share:

Right issue detail:

Securities name :	FPT	Securities type :	Normal stock
Last register date	21/04/2015	Code	FPT
Rate of Securities own/right	1/1	Par value	10,000
Right/Securities rate	1/2	Order price	10,000
Transfer date	21/04/2015-21/05/2015	Payment time	21/04/2015-25/05/2015

Close

Step 3: In order to register right, customer click “**Register**”. The screen will display register to exercise right:

Symbol CA	Quantity symbol CA	Buy price	Quantity orders buying	Total clearing amount to pay	Tiền mặt hiện có
KLS	1,000	10,000	10	100,000	0

Tôi đồng ý trích tiền từ tài khoản của tôi chuyển sang tài khoản Công ty cổ phần chứng khoán Phú Hưng để đăng ký quyền mua chứng khoán!

Pin:

OK **Close**

Note : you order buying, Be careful when confirm

Step 4: Customer enter the number of share to buy and keyin password

Step 5: Click on “**OK**”

Step

6: Upon successful transaction, the system will show a notice of success



Step 7: Click on  to finish transaction.

Note: Customer must ensure the sufficiency of cash upon registering for right (Buying amount payable = Volume * buying price).

1.4.5.2. Register right issue history Customer

can look up right history:

Từ ngày 12/08/2015 Todate 12/08/2015

Right issue register history							
Number	Order time	Effective date	Loại lệnh	CA symbol	Right issue quantity	Trạng thái	Ghi chú
1	12/08/2015	12/08/2015	Register right issue	KLS	100	Completed	

Step 1: Enter the following information:

- From date
- To date

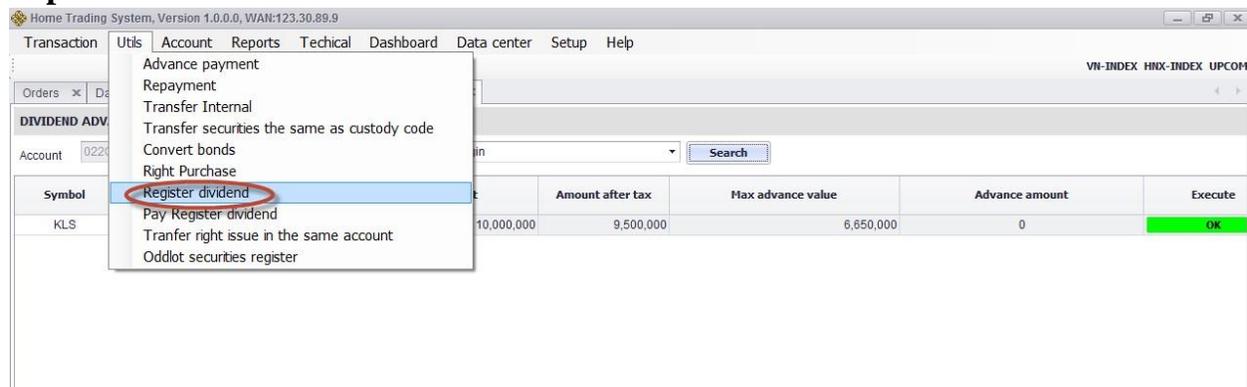
Step 2: Click on “Filter” to look up information

Step 3: Click “Export” to export excel file or export PDF file.

1.4.6. Divident advance payment

1: select function “Utils” => select “Divident advance payment”. The screen will display:

Step



Note: Dividend advance payment is executed for 01 time only.

Step 2: Enter “Advance amount” and click “OK”.



1.4.7. Refund dividend advance

Customers can make payment to dividend advance on the screen of refund dividend advance amount.

Step 1: Select “Utils” => “Refund dividend advance” and “Search”:



Step 2: Click “OK” to refund dividend cash.

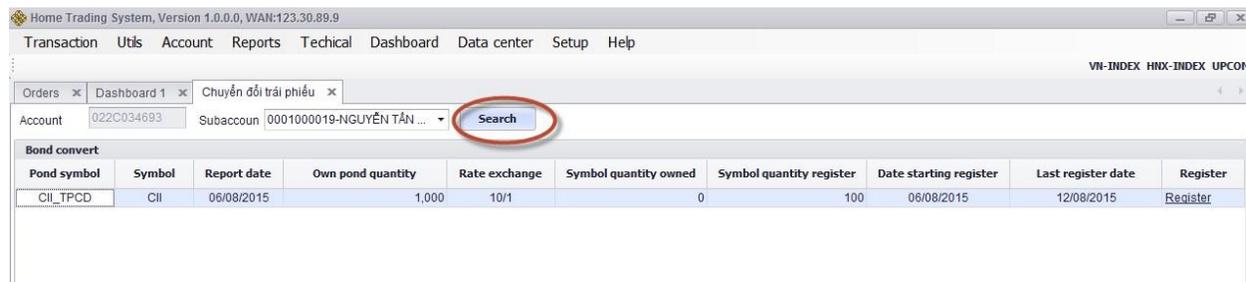
1.4.8. Convert Bond

1: Select “Utils” => “Convert Bond”:

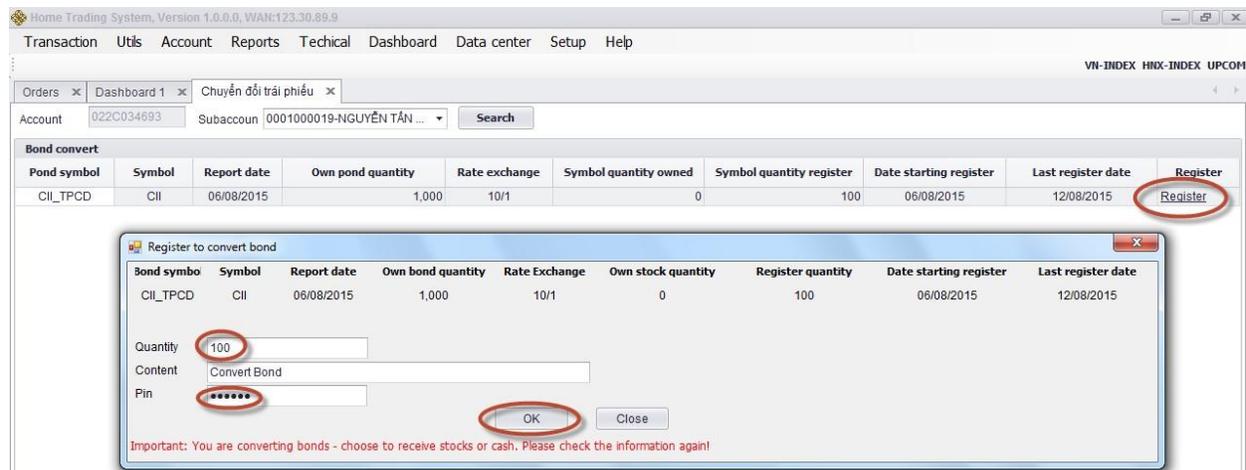
Step



Select “Search”:



Step 2: Click on “Register”:



Step 3: Enter information “Quantity”, “Content” and “Password” and click “OK”.

Step 4: Upon successful transaction, the system will show a notice of success:

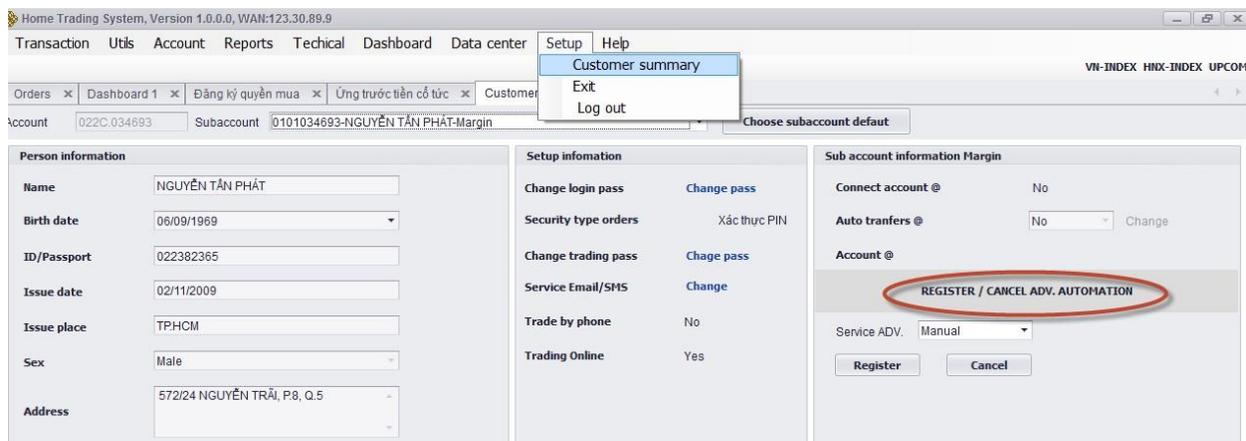


Customer can look up Convert Bond history:

Register date	Tài khoản	Symbol	Tiểu khoản	Quantity exchange	Money	Status	Kênh giao dịch	Mã chứng kh...
12/08/2015	022C034...	CII_TPCD	00010000...	10	0	Hoàn tất	Home Trading	CII

1.4.9. Register/Terminate online Payment in advance service

Step 1: Select Tab “Set up” -> select “Customer summary” -> “Register/Cancel PIA online”:



Step 2: Select “Register/Cancel PIA online” by manual or automatic.

Step 3:

□ Click on “Register” to register PIA service from manual to automatic □

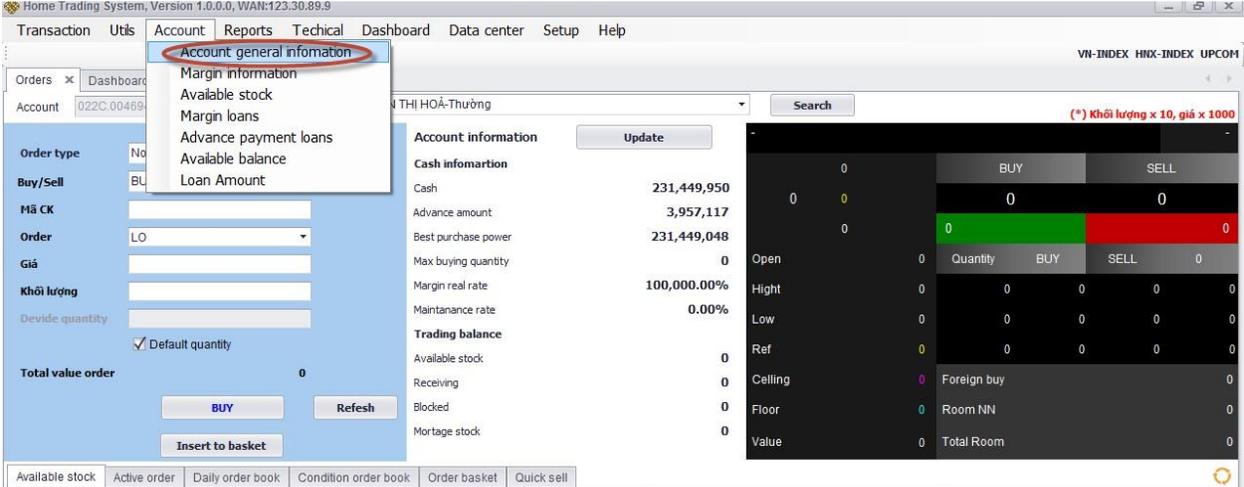
Click on: “Cancel” to cancel this service.

1.5. Account

1.5.1. Account general information

Description: Account General Information is displayed by a general lookup screen, allowing customer to look up the cash balances, total asset, as well and the current loan amounts of customer.

Step 1: Select tab “Account” => “Account general information”



The screenshot displays the Home Trading System interface. The 'Account' menu is open, highlighting 'Account general information'. The main screen shows account details for 'THỊ HOÀ-Thuờng'. The 'Account information' section includes 'Cash information' (Cash: 231,449,950; Advance amount: 3,957,117; Best purchase power: 231,449,048) and 'Trading balance' (Available stock: 0; Receiving: 0; Blocked: 0; Mortgage stock: 0). A table on the right shows market data for 'VN-INDEX HIX-INDEX UPCOM' with columns for BUY and SELL. The table includes rows for Open, High, Low, Ref, Ceiling, Floor, and Value, with corresponding BUY and SELL quantities.

	BUY	SELL
Open	0	0
High	0	0
Low	0	0
Ref	0	0
Ceiling	0	0
Floor	0	0
Value	0	0

Step 2: Select sub account number and click “Search”

Asset information		Total outstanding		Margin information	
NET ASSET VALUE	490,022,165	TOTAL OUTSTANDING	2,610,811	PURCHASE POWER	231,449,048
TOTAL ASSET VALUE	492,632,976	CREDIT LINE DEBT	2,609,909	Margin real rate	100,000.00
TOTAL CASH	238,016,976	Outstanding	0	SAFE RATE	0.00
Cash Sub account	234,059,859	Deal forward & fee	0	SECURED STOCK VALUE	0
Cash main account	234,059,859	Advance payment	1,002,105	Stock Value Outside List	254,616,000
Cash banking	0	Block amount ordering	2,609,909	Symbol is warning for concentrate ratio	
Cash after advance	3,957,117	INTEREST & FEE DEBT	902	CONCENTRATE RATIO	SCR(0), OC(0), DL'(0)
STOCK VALUE	254,616,000	Interest	0		
Trading stock value	252,010,000	Depository fee	902		
Receiving stock value	2,606,000	Sms fee	0		
Buying stock value	0	Extend fee	0		
Selling stock value	0	Other fee	0		
Mortgage stock value	0	TOTAL AMOUNT ESTIMATE TO PAY ON DAY	0		
EXTRA INFORMATION					
Cash	TD accrued interest				
Available cash	231,449,048				
Max advance amount	3,965,445				
Block amount	0				
Dividend cash receiving	10,000,000				
Accrued interest	19,421				
STOCK					
Blocking stock value	0				

Description: The screen of available securities allows customer to look up available securities of customer by details.

Step 1: Customer select “Account” => “Available securities”, the screen displays as follows:

Order	Symbol	Total	Available	Limit	Blocked	CA	VSD	Available for	Block	T0	T1	T2	Average	Cost price
SELL	ACB	100	100	0	0	0	0	0	0	0	0	0	22,972	2,297
SELL	FPT	4,910	4,900	0	0	0	0	0	0	10	0	0	49,486	242,976
SELL	HHS	1,100	1,000	0	0	0	0	0	0	100	0	0	22,769	25,045
SELL	TP4A3604	90	90	0	0	0	0	0	0	0	0	0	10,040	903

Step 2: Customer can place “Sell” order by clicking on “Sell” button.

Note: Button “Sell” only pops up when the volume of selling stock is smaller than the available securities.

1.5.2. Balance

Description: The screen of available balance allows customer to look up available balance, withdrawal amount...of each sub account of customer.

Account => “Balance”

Money in main account	Balance	Order amount	Estimate drawdown amount	Available advance amount	Total Receiving	Receiving T0	Receiving T1	Receiving T2	Current
231,449,950	231,449,048	2,609,909	0	3,957,117	4,980,000	0	0	4,980,000	4,980,000

Select sub account and click on **“Update”**, customer can select All.

1.5.3. Margin information

Select **“Account”** => **“Margin information”** includes 03 functions:

1.5.3.1. Margin call

- Look up sub account of customer has or not has margin call -

Select sub account and click on **“Search”**:

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

Orders Dashboard 1 Margin information

Account: 022C Sub account: 0 T-Margin Search

Margin call and due date

Number	Account	Account number	Fullname Customer	Call maintain days	Begin call day	Call scheduled deadline	Amount payable to RatHR	Selling amount	Must sell value (*)	Amount due	Amount overdue	T
Click on subaccount for detail												
Export PDF Export XLS												

Margin and T+ coming due date

Custodycd	Account type	Subaccount	Fullname	Release date	Due date 1	Due date 2	Interest rate 1	Rate 2	Original principal	Normal principal	Due pri
022C034693	Margin	0101034693	NGUYỄN TẤN PHÁT	14/05/2015	14/05/2015	12/08/2015	15.12	15.12	1,992,576	0	1.9
022C034693	Margin	0101034693	NGUYỄN TẤN PHÁT	14/05/2015	14/05/2015	12/08/2015	15.12	15.12	3,187,705	0	3.1

Export PDF Export XLS

1.5.3.2. Margin Loan is coming due date

Select sub account → Click on “Search”: this will be display margin loan is coming due date.

1.5.3.3. Warning debt but out of securities

This function will display sub account of customer in order to warning in sub account still having outstanding but out of asset value.

1.5.3. Margin Loan amount

Select “Account” => “Loan amount”

Description: This screen to look up all outstanding: margin, delayed payment...of customer:

Home Trading System, Version 2.0.2.4, hometrading.phs.vn, WAN:hometrading.phs.vn.com

Transaction Dashboard Utis Account Reports Data center Setup Help

VN-INDEX HIX-INDEX UPCOM

Orders x Dashboard 1 x Margin information x Loan Amount x

Account 022C Sub account Margin

Search

Loan inquiry

Number	Drawdown date	Maturity date	Principal outstanding	Settle principal	Current outstanding	Total interest	Settle interest	Remain interest	Service fee	Paid service fee	Remain service fee	Total outsta
1	09/07/2015	07/10/2015	4,351,343	0	4,351,343	54,692	0	54,692	0	0	0	4,4
2	02/06/2015	31/08/2015	27,814,608	0	27,814,608	781,838	0	781,838	0	0	0	28,5
3	25/03/2015	21/09/2015	7,500,000	6,032,494	1,467,506	356,455	0	356,455	0	0	0	1,8
4	01/06/2015	31/08/2015	20,040,000	12,233,303	7,806,697	417,960	0	417,960	0	0	0	8,2
5	09/07/2015	07/10/2015	6,470,257	0	6,470,257	81,324	0	81,324	0	0	0	6,5
6	25/03/2015	21/09/2015	360,000	0	360,000	20,552	0	20,552	0	0	0	3

From date 10/08/2015 To date 10/08/2015 **Search**

Payment details

Number	Loan date	Maturity date	Payment date	Net loan	Settle principal	Settle interest	Current outstanding
--------	-----------	---------------	--------------	----------	------------------	-----------------	---------------------

1.5.4.1. Loan inquiry

Select sub account and click on “Search”: this will be look up margin outstanding, delayed payment, quota of customer:

Loan inquiry

Number	Drawdown date	Maturity date	Principal outstanding	Settle principal	Current outstanding	Total interest	Settle interest	Remain interest	Service fee	Paid service fee	Remain service fee	Total outsta
1	09/07/2015	07/10/2015	4,351,343	0	4,351,343	54,692	0	54,692	0	0	0	4,4
2	02/06/2015	31/08/2015	27,814,608	0	27,814,608	781,838	0	781,838	0	0	0	28,5
3	25/03/2015	21/09/2015	7,500,000	6,032,494	1,467,506	356,455	0	356,455	0	0	0	1,8
4	01/06/2015	31/08/2015	20,040,000	12,233,303	7,806,697	417,960	0	417,960	0	0	0	8,2
5	09/07/2015	07/10/2015	6,470,257	0	6,470,257	81,324	0	81,324	0	0	0	6,5
6	25/03/2015	21/09/2015	360,000	0	360,000	20,552	0	20,552	0	0	0	3

1.5.4.2. Payment detail:

Select sub account → Select from dat to date → Click on “Search”: this will be look up all payment outstanding history.

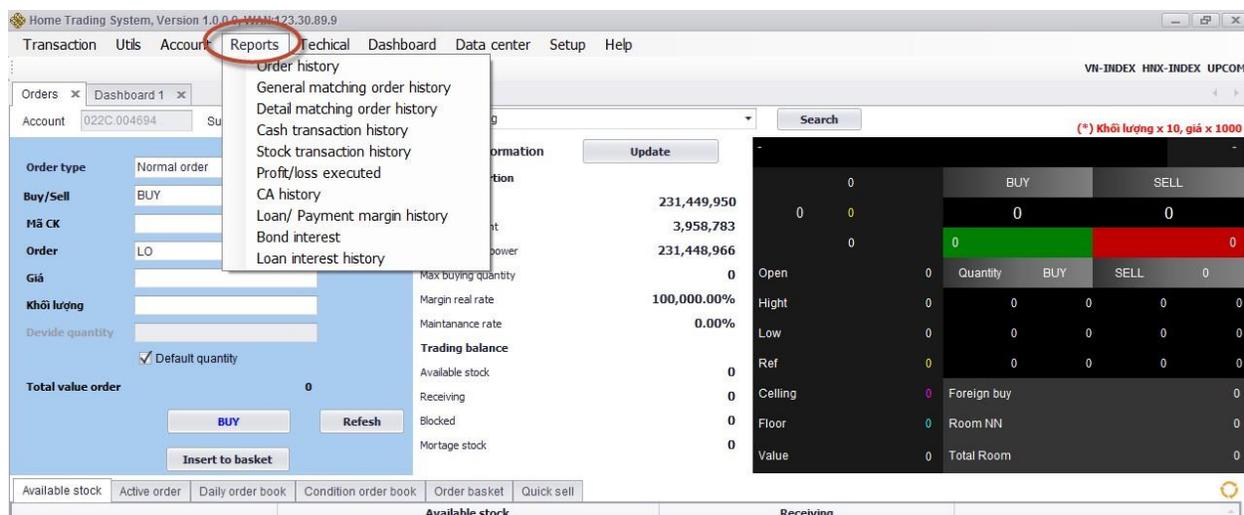
From date 12/08/2015 To date 10/08/2015 **Search**

Payment details

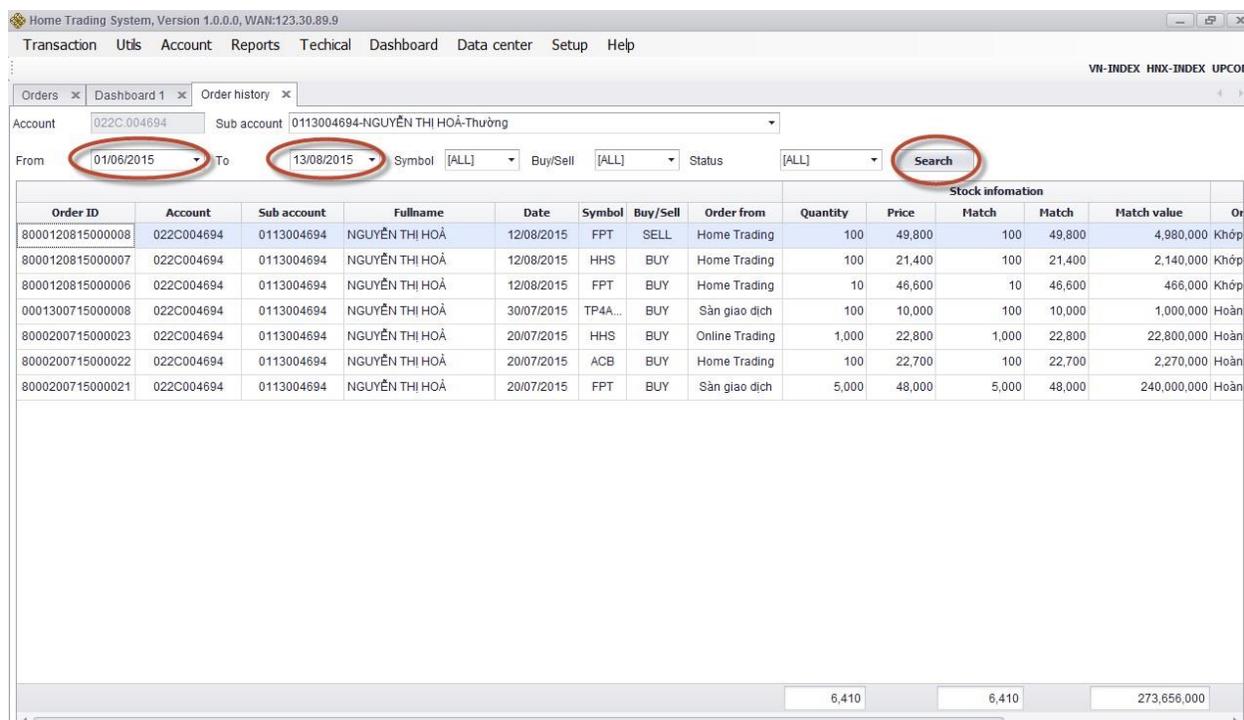
Number	Loan date	Maturity date	Payment date	Net loan	Settle principal	Settle interest	Current outstanding
1	25/03/2015	21/09/2015	28/07/2015	7,500,000	3,136,886	0	1,467,506
2	09/04/2015	08/07/2015	09/07/2015	8,272,972	8,272,972	317,930	0
3	01/06/2015	31/08/2015	09/07/2015	20,040,000	12,233,303	0	7,806,697
4	02/06/2015	31/08/2015	09/07/2015	1,243,392	1,243,392	19,322	0

1.6. Report

1.6.1. Order history and General matching order history



Step 1: Select “Report” => “Order history”, select from date to date and click on “Search”:



Step 2: Enter the following information:

- Sub account: select sub account want to look up
- From date
- To date

- Stock code: Enter 1 stock code. If it is left empty, the system will automatically understand as all stocks that customer is holding.
- Order: Select Buy/Sell order or default to all order.

Step 3: Click on “Search” to look up matched orders

Step 4: Click “Export” to export excel file or export PDF file

1.6.2. Detail order matching history

Step 1: Select “Report” => “Detail order matching history”

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

VN-INDEX HIX-INDEX UPCOM

Orders x Dashboard 1 x Order history x **Detail matching order history x**

Account: 022C.004694 Sub account: 0113004694-NGUYỄN THỊ HOÀ-Thường

From date: 01/07/2015 Todate: 13/08/2015 Symbol: [ALL] Order: [ALL] Search

Account	Sub account	Fullname	Date	Symbol	Loại lệnh	Quantity	Price (VND)	Value(VND)	Fee (VND)	Tax
022C004694	0113004694	NGUYỄN THỊ HOÀ	12/08/2015	FPT	Sell	100	49,800	4,980,000	7,470	4,980
022C004694	0113004694	NGUYỄN THỊ HOÀ	12/08/2015	FPT	Buy	10	46,600	466,000	699	0
022C004694	0113004694	NGUYỄN THỊ HOÀ	12/08/2015	HHS	Buy	100	21,400	2,140,000	3,210	0
022C004694	0113004694	NGUYỄN THỊ HOÀ	30/07/2015	TP4A3604	Buy	100	10,000	1,000,000	4,000	0
022C004694	0113004694	NGUYỄN THỊ HOÀ	20/07/2015	ACB	Buy	100	22,700	2,270,000	9,080	0
022C004694	0113004694	NGUYỄN THỊ HOÀ	20/07/2015	FPT	Buy	5,000	48,000	240,000,000	840,000	0
022C004694	0113004694	NGUYỄN THỊ HOÀ	20/07/2015	HHS	Buy	1,000	22,800	22,800,000	34,200	0

6,410 273,656,000 898,659 4,980

Record 1 of 7

Export Excel

022C004694 | Usercareby: Internet Broker - Phone: | Customer service: (84-8)5 411 8855 | Call center: (84-8)5 413 5488 | Đăng kết nối... | 13/08/2015 | 10:57:16 AM

Step 2: Enter the following:

- Select sub account you want to look up

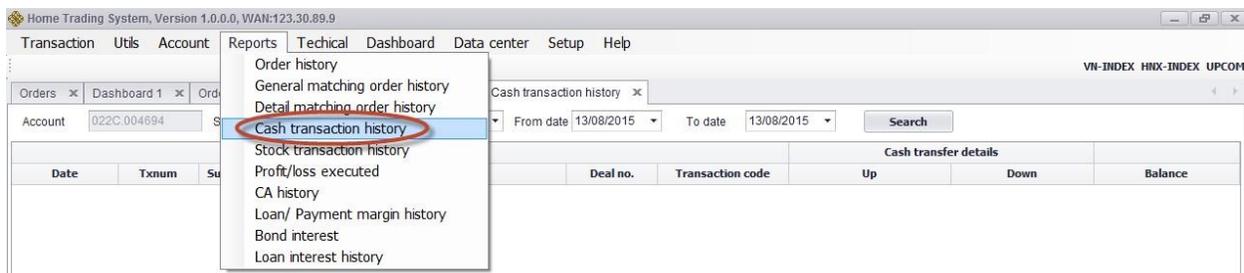
- From date
- To date
- Stock code: Enter 1 stock code. If it is left empty, the system will automatically understand as all stocks that customer is holding.
- Order: Select Buy/Sell order or default to all order.

Step 3: Click on “**Search**” to look up order information.

Step 4: Click on “**Export**” to export excel file.

1.6.3. Cash transaction history

Step 1: Select “**Report**” => “**Cash transaction history**”



Step 2: Enter the following information:

- Select sub account you want to look up
- From date
- To date
- Stock code: Enter 1 stock code or it is left empty, the system will automatically understand as all stocks that customer is holding.
- Status

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utlis Account Reports Technical Dashboard Data center Setup Help

VN-INDEX HNX-INDEX UPCOM

Orders x Dashboard 1 x Order history x Detail matching order history x Cash transaction history x

Account 022C.004694 Sub account 0113004694-NGUYỄN THỊ HOÀ-T... From date 01/06/2015 To date 13/08/2015 Search

Date	Txnum	Sub account	Descriptions	Deal no.	Transaction code	Cash transfer details		Balance
						Up	Down	
		0113004694	Dư đầu kỳ			0	0	0
20/07/2015	0001000138	0113004694	Nộp tiền mặt		1131	500,000,000	0	500,000,000
20/07/2015	9900000276	0113004694	Trả tiền mua/Buy payment 5,000 FPT ngày/date 20/...		8865	0	240,000,000	260,000,000
20/07/2015	9900000277	0113004694	Trả tiền mua/Buy payment 100 ACB ngày/date 20/0...		8865	0	2,270,000	257,730,000
20/07/2015	9900000278	0113004694	Trả tiền mua/Buy payment 1,000 HHS ngày/date 2...		8865	0	22,800,000	234,930,000
20/07/2015	9900000283	0113004694	Trả phí mua/Buying fee 5,000 FPT ngày/date 20/07...		8855	0	840,000	234,090,000
20/07/2015	9900000284	0113004694	Trả phí mua/Buying fee 100 ACB ngày/date 20/07/2...		8855	0	9,080	234,080,920
20/07/2015	9900000285	0113004694	Trả phí mua/Buying fee 1,000 HHS ngày/date 20/0...		8855	0	34,200	234,046,720
30/07/2015	9900000803	0113004694	Trả tiền mua/Buy payment 100 TP4A3604 ngày/dat...		8865	0	1,000,000	233,046,720
30/07/2015	9900000811	0113004694	Trả phí mua/Buying fee 100 TP4A3604 ngày/date 3...		8855	0	4,000	233,042,720
31/07/2015	9900004637	0113004694	Lãi tiền gửi tháng 07/2015		1162	17,872	0	233,060,592
31/07/2015	9900011118	0113004694	Phí lưu ký tháng 07/2015		1182	0	733	233,059,859
12/08/2015	6900000042	0113004694	UTTB ngày GD 12.08.2015, ngày TT 17.08.2015		1153	1,002,105	0	234,061,964
12/08/2015	6900000042	0113004694	Phí UTTB ngày GD 12.08.2015, ngày TT 17.08.2015		1153	0	2,105	234,059,859
12/08/2015	9900000301	0113004694	Trả tiền mua/Buy payment 10 FPT ngày/date 12/08/...		8865	0	466,000	233,593,859
12/08/2015	9900000302	0113004694	Trả tiền mua/Buy payment 100 HHS ngày/date 12/...		8865	0	2,140,000	231,453,859
12/08/2015	9900000304	0113004694	Trả phí mua/Buying fee 10 FPT ngày/date 12/08/20...		8855	0	699	231,453,160
12/08/2015	9900000305	0113004694	Trả phí mua/Buying fee 100 HHS ngày/date 12/08/...		8855	0	3,210	231,449,950
		0113004694	Dư cuối kỳ			0	0	231,449,950

501,019,977 269,570,027

Export to PDF Export to Excel

Step 3: Click on “Search” to look up report information.

1.6.4. Stock transaction history

Step 1: Select “Report” => “Stock transaction history”

Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utlis Account Reports Technical Dashboard Data center Setup Help

VN-INDEX HNX-INDEX UPCOM

Orders x Dashboard 1 x Order history x Detail matching order history x Cash transaction history x Stock transaction history x

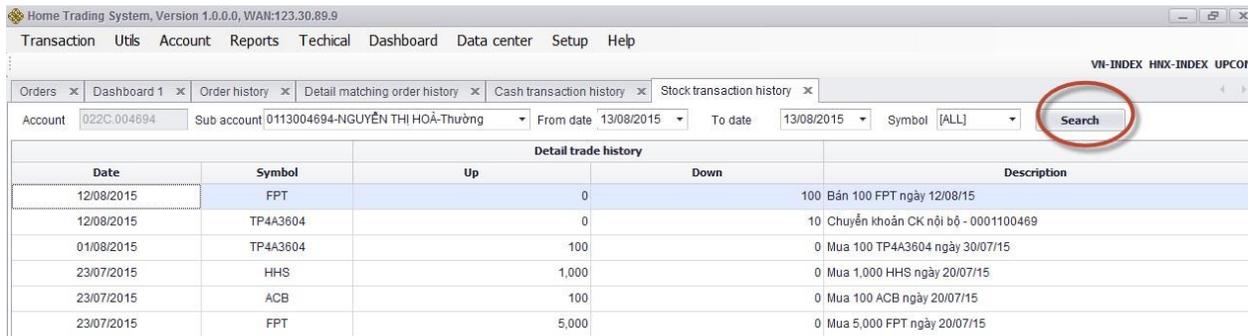
Account 022C.004694 Sub account 0113004694-NGUYỄN THỊ HOÀ-T... From date 13/08/2015 To date 13/08/2015 Symbol [ALL] Search

Date	Profit/loss executed	CA history	Loan/ Payment margin history	Bond interest	Loan interest history	Detail trade history	Description
12/08/2015						0	100 Bán 100 FPT ngày 12/08/15
12/08/2015						0	10 Chuyển khoản CK nội bộ - 0001100469
01/08/2015						100	0 Mua 100 TP4A3604 ngày 30/07/15
23/07/2015						1,000	0 Mua 1,000 HHS ngày 20/07/15
23/07/2015						100	0 Mua 100 ACB ngày 20/07/15
23/07/2015						5,000	0 Mua 5,000 FPT ngày 20/07/15

Step 2: Enter the following information:

- Select sub account you want to look up
- Stock code: Enter 1 stock code or or all available stock code
- From date
- To date

Step 3: Click on “Search” to look up information.



Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

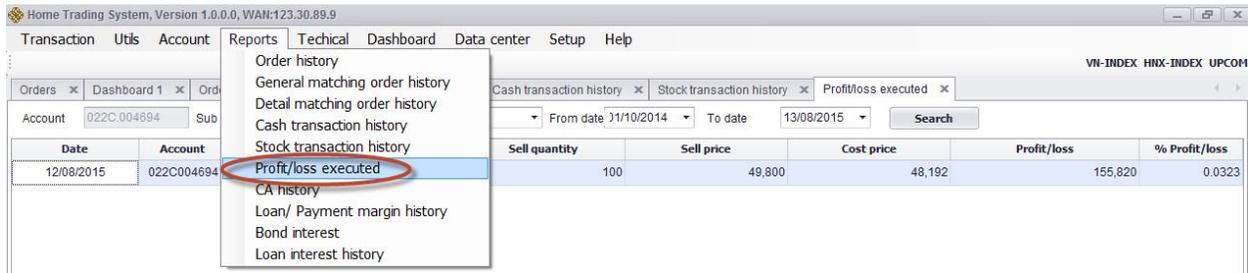
Orders x Dashboard 1 x Order history x Detail matching order history x Cash transaction history x Stock transaction history x

Account: 022C:004694 Sub account: 0113004694-NGUYỄN THỊ HOÀ-Thường From date: 13/08/2015 To date: 13/08/2015 Symbol: [ALL] Search

Date	Symbol	Up	Down	Description
12/08/2015	FPT	0	100	Bán 100 FPT ngày 12/08/15
12/08/2015	TP4A3604	0	10	Chuyển khoản CK nội bộ - 0001100469
01/08/2015	TP4A3604	100	0	Mua 100 TP4A3604 ngày 30/07/15
23/07/2015	HHS	1,000	0	Mua 1,000 HHS ngày 20/07/15
23/07/2015	ACB	100	0	Mua 100 ACB ngày 20/07/15
23/07/2015	FPT	5,000	0	Mua 5,000 FPT ngày 20/07/15

1.6.5. Profit/Loss executed

Step 1: Select “Report” => “Profit/Loss executed”



Home Trading System, Version 1.0.0.0, WAN:123.30.89.9

Transaction Utils Account Reports Technical Dashboard Data center Setup Help

Orders x Dashboard 1 x Order history x Detail matching order history x Cash transaction history x Stock transaction history x Profit/loss executed x

Account: 022C:004694 Sub account: 0113004694-NGUYỄN THỊ HOÀ-Thường From date: 11/10/2014 To date: 13/08/2015 Search

Date	Account	Sell quantity	Sell price	Cost price	Profit/loss	% Profit/loss
12/08/2015	022C004694	100	49,800	48,192	155,820	0.0323

Step 2: Enter the following information:

- Customer select sub account you want to look up
- Stock code: customer select 1 stock code or or all available stock code
- From date
- To date

Step 3: Click on “Search” to look up information.

Date	Account	Subaccount	Symbol	Sell quantity	Sell price	Cost price	Profit/loss	% Profit/loss
13/08/2015	022C004694	0113004694	HHS	200	22,800	22,707	18,653	0.0041
12/08/2015	022C004694	0113004694	FPT	100	49,800	48,192	155,820	0.0323
13/08/2015	022C004694	0113004694	FPT	10	49,000	48,189	8,110	0.0168

1.6.6. Coporate Actions history

Step 1: Select “Report” => “CA history”

Symbol	CA Type	Action rate	Receivable quantity	Receivable amount	Expect action date	Status
FPT	Chia cổ tức bằng tiền	20%	0	10,000,000	03/08/2015	Chờ thực hiện

Step 2: Enter the following information:

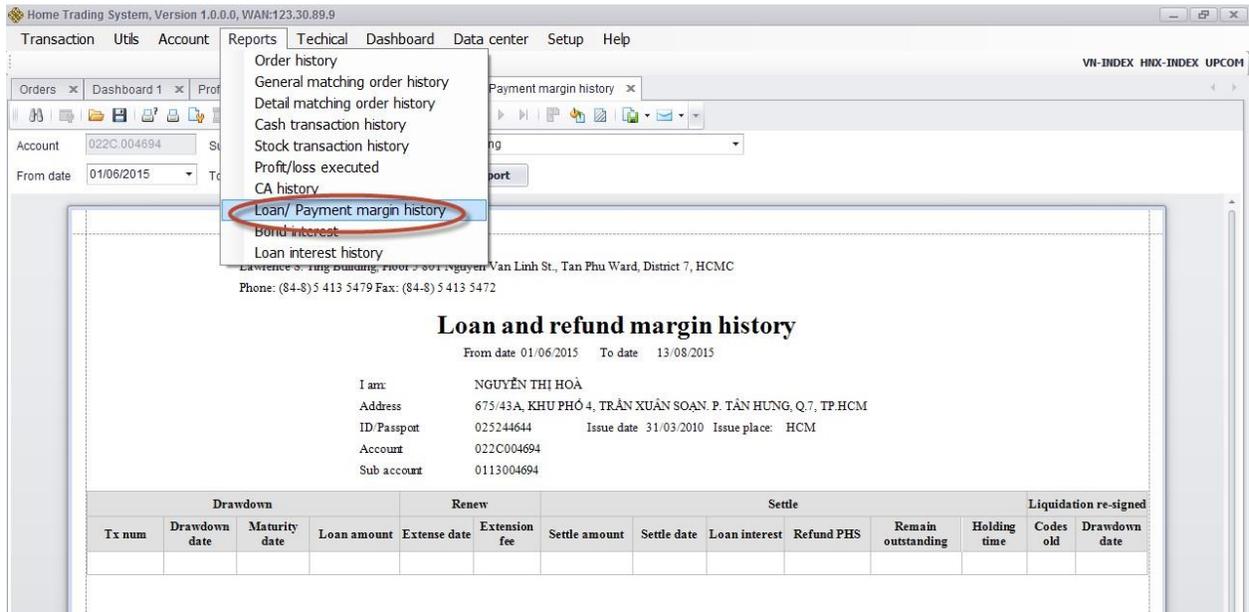
- Customer select sub account you want to look up
- From date
- To date
- Coporate Actions type
- Status

Step 3: Click on “Search” to look up information.

Symbol	CA Type	Report date	Own quantity	Action rate	Receivable quantity	Receivable amount	Expect action date	Status
FPT	Chia cổ tức bằng tiền	29/07/2015	5,000	20%	0	10,000,000	03/08/2015	Chờ thực hiện

1.6.7. Loan/ payment margin history

Step 1: Select “Report” => “Loan/payment margin history”



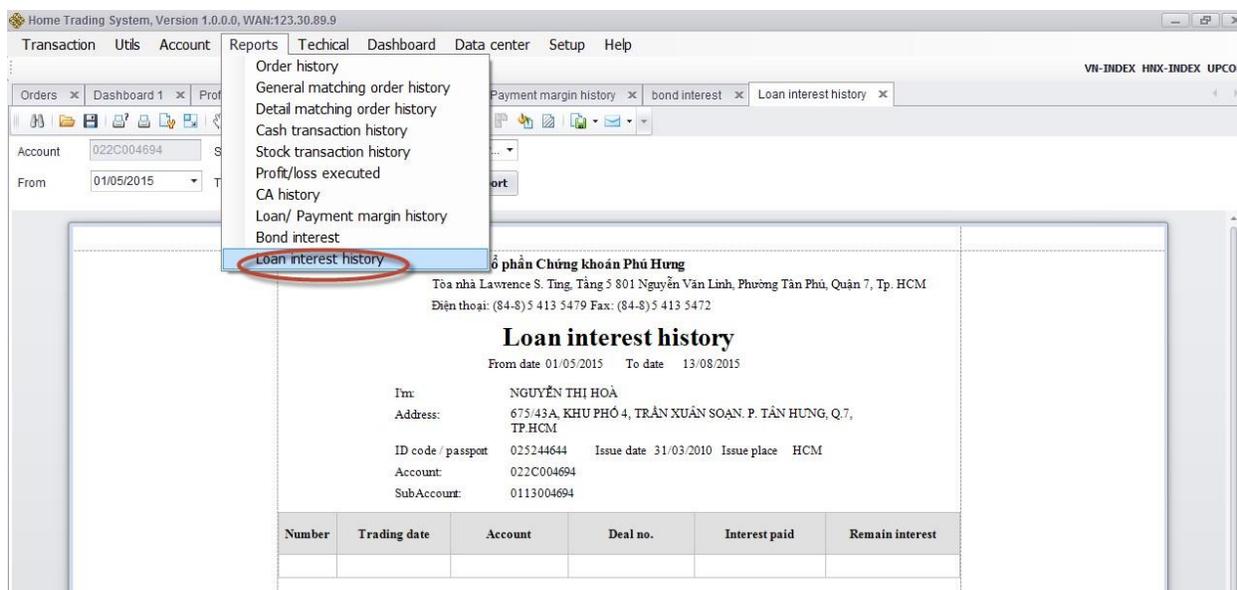
Step 2: Enter the following information:

- Customer select sub account you want to look up
- From date
- To date

Step 3: Click on “Search” to look up information.

1.6.8. Loan interest report

Step 1: Select “Report” => “Loan interest history”



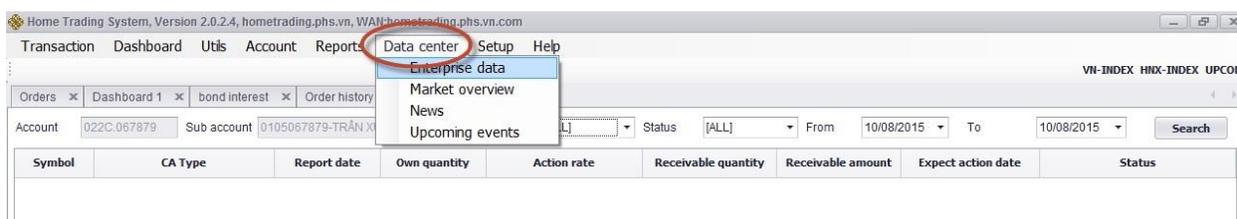
Step 2: Enter the following information:

- Customer select sub account you want to look up
- From date
- To date

Step 3: Click on “Creat report” to look up information.

1.7. Data center

On the Tab “Data Center” including the following information:



1.7.1. Enterprise Data

1.7.2. Market overview

1.7.3. News

1.7.4. Upcoming events

When customer select 01 of the above information, system will automatically link to PHS's website for reading.

1.8. Set up

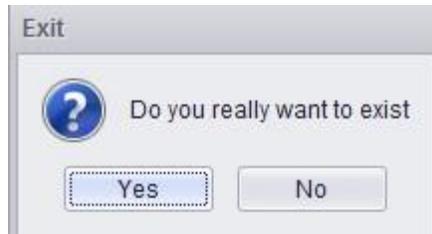
1.8.1. Customer summary

Customer select “Set up” => “Customer summary”:

The screenshot displays the Home Trading System interface. At the top, there is a navigation menu with options: Transaction, Dashboard, Utlis, Account, Reports, Data center, Setup, and Help. The 'Setup' menu is open, showing a dropdown with 'Customer summary' (highlighted with a red circle), 'Exit', and 'Log out'. Below the menu, the main content area is divided into three columns: 'Person information', 'Setup information', and 'Sub account information Margin'. The 'Person information' column contains fields for Name (TRẦN XUÂN THINH), Birth date (12/06/1991), ID/Passport (273373606), Issue date (08/11/2006), Issue place (BR-VT), Sex (Male), Address (495/36/67 HUỶNH TẤN PHÁT KP7 TT NHÀ BÈ - NHÀ BÈ - TP.HCM), mobile 1 (84968769937), mobile 2/ Telephone, Email (xuanlantran82@gmail.com), Care by (LÊ VĂN CẤP), and Persenter (VÕ NHẬT NGÂN GIANG). The 'Setup information' column includes links for 'Change login pass', 'Change pass', 'Security type orders' (Xác thực PIN), 'Change trading pass', 'Chage pass', 'Service Email/SMS', 'Trade by phone' (No), and 'Trading Online' (Yes). The 'Sub account information Margin' column shows 'Connect account @' (No), 'Auto tranfers @' (No), and 'Account @'. Below this is a section for 'REGISTER / CANCEL ADV. AUTOMATION' with a 'Service ADV.' dropdown set to 'Manual' and 'Register' and 'Cancel' buttons. The footer of the page contains the account number 022C067879, customer service contact information, and the date and time: 10/08/2015 11:45:24 AM.

1.8.2. Exit

Customer select “Set up” => “Exit” to exist of system.



1.8.3. Log out

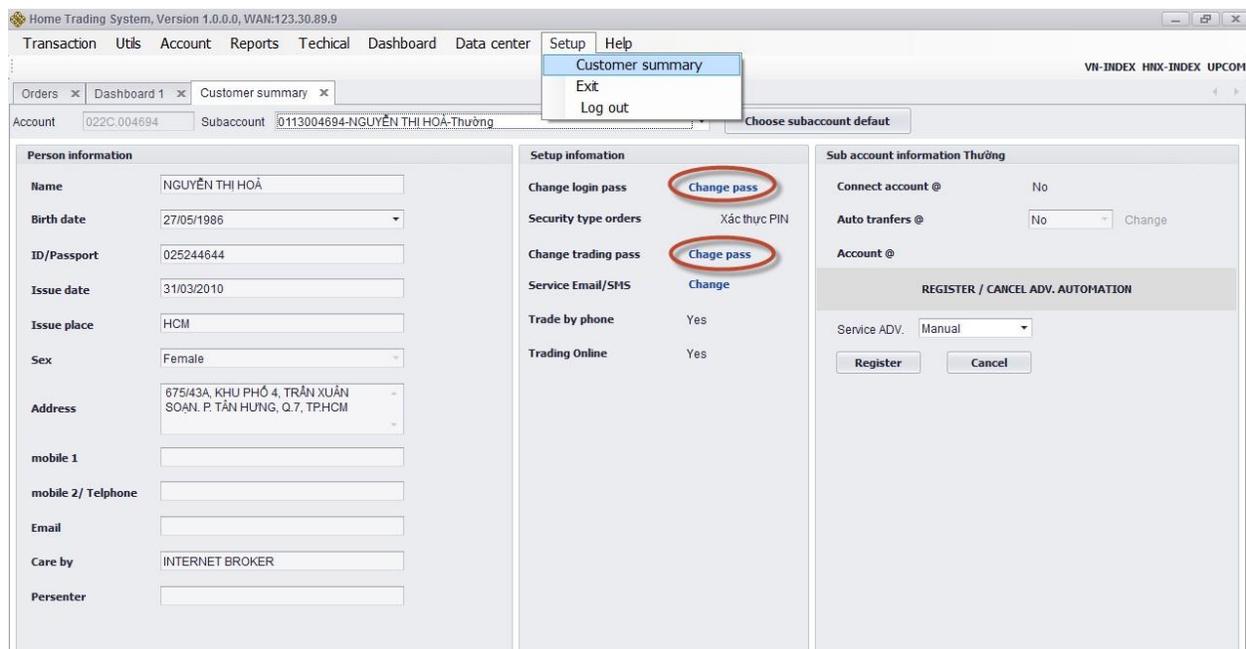
Customer select “Set up” => “Log out”, click Yes or No.

1.8.4. Change password

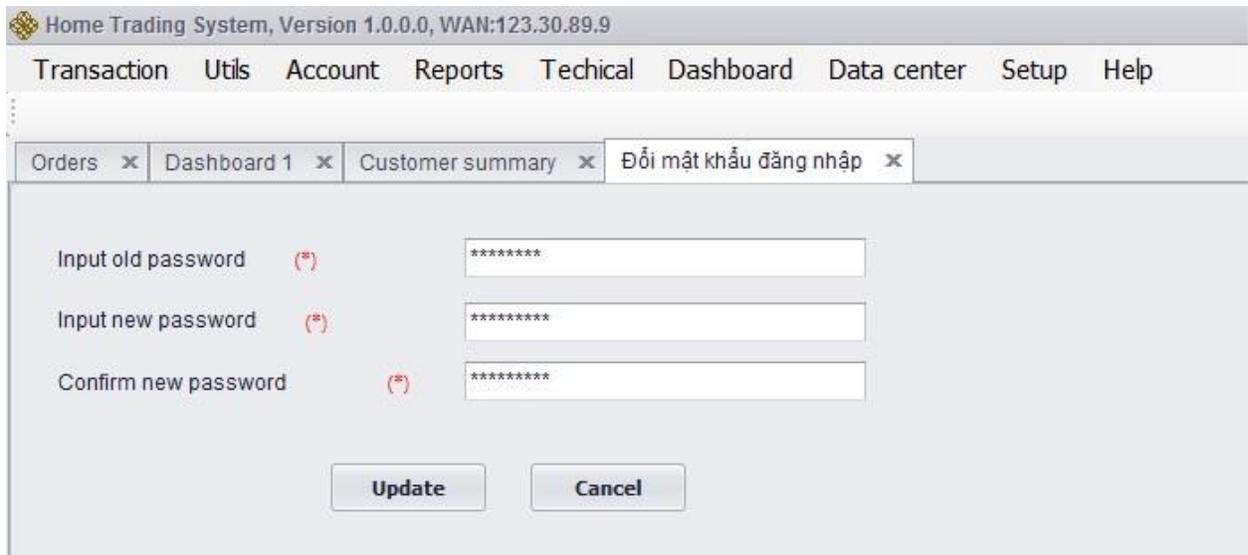
1.8.4.1. Change login password

Step 1: Select “Set up” => “Customer summary” => Change login password **Step**

2: The screen will display, enter the following information:

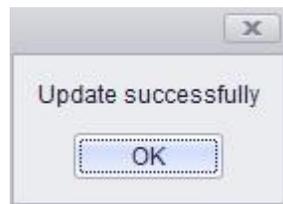


- Input old password
- Input new password
- Re-input new password



Step 3: Click on “**Update**” to change trading password or click on “**Cancel**” to cancel entered information.

Step 4: When success, system will display a notice:



Step 5: Click on to complete transaction.

1.8.4.2. Change trading password

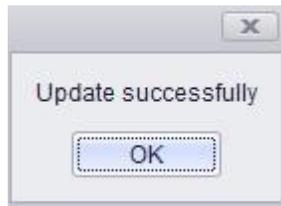
Step 1: Select “**Set up**” => “**Customer summary**” => Change trading password

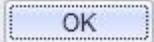
Step 2: Enter the following information:

- Input old password
- Input new password
- Re-input new password

Step 3: Click on “**Update**” to change trading password or click on “**Cancel**” to cancel entered information.

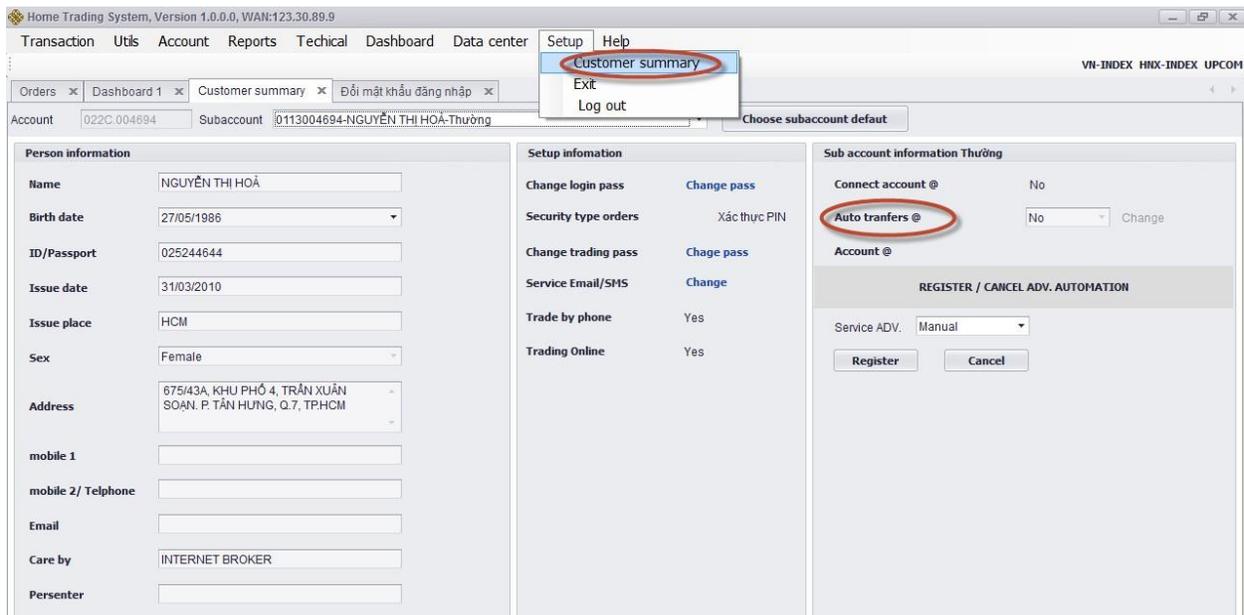
Step 4: When success, system will display a notice:



Step 5: Click on  to complete transaction.

1.8.4.3. Update sub account information

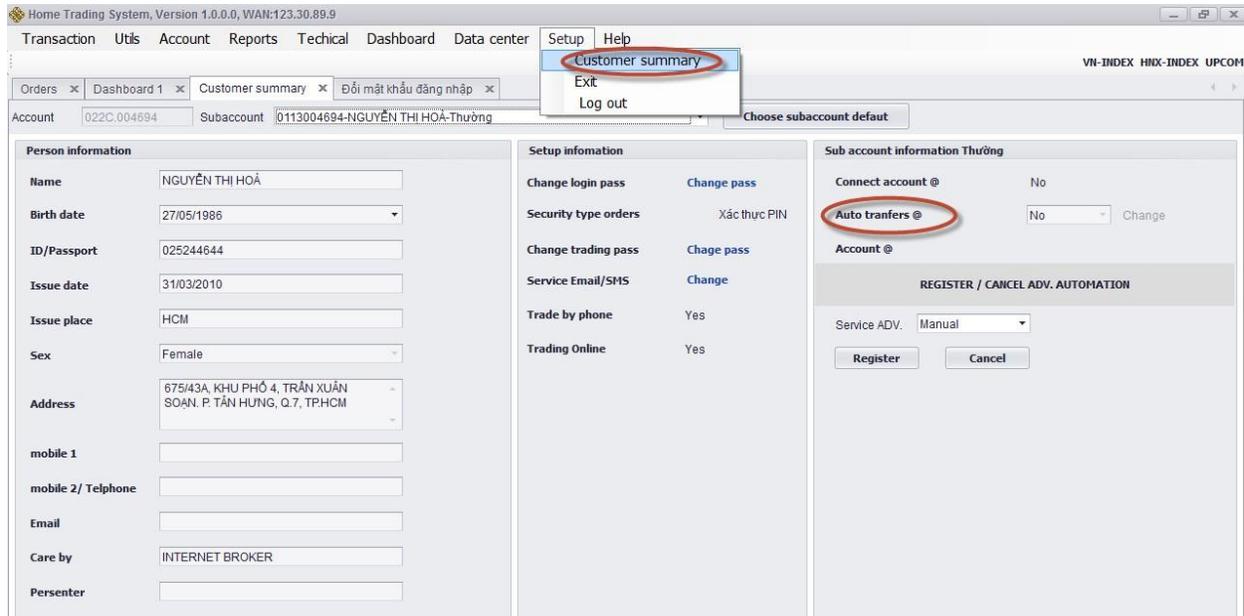
On the Tab “Set up” => select “Customer summary” => **Auto transfer**. This function is used for customer who want to use incoming selling amount comes into private account or sub account at PHS. Customer select YES/NO, click on “Change”. Account status will be updated according to customer chosen.



1.8.4.4. Register/Cancel Payment in advance online

This function is used in case customer want to use PIA service manual or automatic after matched selling order.

Customer can select Payment in advance service by selecting on the Tab “Set up” => “Customer summary” => Register/Cancel ADV automation:



Choose Manual or Auto, click on “Register”, if customer want to cancel this, click on “Cancel”, system will automatically be updated registered information.

