

Số/No.: 166/2026/PHS-PL

Thành phố Hồ Chí Minh, ngày 01 tháng 06 năm 2026  
Ho Chi Minh City, 01 June 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG  
EXTRAORDINARY INFORMATION DISCLOSURE**

- Kính gửi/ To:**
- Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
  - Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
  - Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;
  - Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG (“PHS”)**  
*Name of organization: PHU HUNG SECURITIES CORPORATION (“PHS”)*

– Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022

– Địa chỉ mới: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh (Địa chỉ cũ: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh)  
*New Address: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City (Old Address: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City).*

– Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472

– E-mail: cbtt\_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure:*

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố về việc nhận Giấy phép điều chỉnh và các Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh công ty chứng khoán cấp bởi Ủy ban chứng khoán Nhà nước do cập nhật địa chỉ Công ty và các chi nhánh sau khi thay đổi địa giới hành chính, cụ thể như sau:



*According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses the receipt of the amended License and Decisions on amending the Decision approving the establishment of the securities company branch issued by the State Securities Commission due to the updated address of the Company and its branches following changes in administrative boundaries, details as follows:*

- i. Giấy phép điều chỉnh Giấy phép thành lập và hoạt động kinh doanh chứng khoán số 61/GPĐC-UBCK cấp ngày 29/05/2026.  
*Amendment License to the Establishment and Operation License for Securities Business No. 61/GPĐC-UBCK dated 29 May 2026.*
- ii. Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh công ty chứng khoán số 305/QĐ-UBCK cấp ngày 29/05/2026 đối với Chi nhánh Thanh Xuân.  
*Decision on the amendment of the Decision approving the establishment of the securities company branch No. 305/QĐ-UBCK dated 29 May 2026 in respect of Thanh Xuan Branch.*
- iii. Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh công ty chứng khoán số 306/QĐ-UBCK cấp ngày 29/05/2026 đối với Chi nhánh Hải Phòng.  
*Decision on the amendment of the Decision approving the establishment of the securities company branch No. 306/QĐ-UBCK dated 29 May 2026 in respect of Hai Phong Branch.*
- iv. Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh công ty chứng khoán số 307/QĐ-UBCK cấp ngày 29/05/2026 đối với Chi nhánh Quận 3.  
*Decision on the amendment of the Decision approving the establishment of the securities company branch No. 307/QĐ-UBCK dated 29 May 2026 in respect of District 3 Branch.*
- v. Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh công ty chứng khoán số 308/QĐ-UBCK cấp ngày 29/05/2026 đối với Chi nhánh Quận 1.  
*Decision on the amendment of the Decision approving the establishment of the securities company branch No. 308/QĐ-UBCK dated 29 May 2026 in respect of District 1 Branch.*
- vi. Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh công ty chứng khoán số 309/QĐ-UBCK cấp ngày 29/05/2026 đối với Chi nhánh Tân Bình.  
*Decision on the amendment of the Decision approving the establishment of the securities company branch No. 309/QĐ-UBCK dated 29 May 2026 in respect of Tan Binh Branch.*

Ngày nhận được Giấy phép điều chỉnh và các Quyết định nêu trên: 01/06/2026  
*Date of receipt of the Amended License and the decisions above: 01/06/2026*

(Chi tiết tham khảo tài liệu đính kèm/ *Further information, please find the attachment.*)

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 01/06/2026 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

*This information was published on PHS's website on 01/06/2026 in the link at <https://www.phs.vn>, tab About PHS, and Investor relation.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.


*We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

**Tài liệu đính kèm:**

*Attached Document:*

- Giấy phép điều chỉnh Giấy phép thành lập và hoạt động kinh doanh chứng khoán;  
*Amendment License to the Establishment and Operation License for Securities Business;*
- Các Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh.  
*Decisions on amending the Decision approving the establishment of the Branch.*

**ĐẠI DIỆN TỔ CHỨC  
ORGANIZATION REPRESENTATIVE**

*(Ký, ghi rõ họ tên, chức vụ, đóng dấu)*   
*(Signature, full name, position, and seal)*



**Ông/ Mr. CHEN CHIA KEN**  
**Tổng Giám đốc**  
**General Director**





MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION OF VIETNAM

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom - Happiness

No.: 61/GPĐC-UBCK

Hanoi, May 29, 2026

### AMENDED LICENSE

License for Establishment and Operation of Securities Business

#### CHAIRMAN OF THE STATE SECURITIES COMMISSION OF VIETNAM

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers, and organizational structure of the State Securities Commission of Vietnam;*

*Pursuant to the License for Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation;*

*Pursuant to the documentation requesting an update of the principal office address due to administrative boundary changes of Phu Hung Securities Corporation;*

*At the proposal of the Head of the Securities Business Management Department.*

#### DECIDES:

**Article 1.** To amend the License for Establishment and Operation of Securities Business No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation with the following details:

- Head office address: Floor 21, Phú My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.

**Article 2.** This amended License shall be of full force and effect from the signing date and is an integral part of the License for Establishment and Operation of Securities Business No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation.

**Article 3.** The amended License is made into two (02) originals: 01 original is issued to Phu Hung Securities Corporation, the other is recorded at the State Securities Commission of Vietnam./.

FOR CHAIRMAN

VICE CHAIRMAN

(Signed & sealed)

LE THI VIET NGA



MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION OF VIETNAM

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom - Happiness

No.: 305/QĐ-UBCK

Hanoi, May 29, 2026

## DECISION

### On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

#### CHAIRMAN OF THE STATE SECURITIES COMMISSION OF VIETNAM

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers, and organizational structure of the State Securities Commission of Vietnam;*

*Pursuant to the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation;*

*Pursuant to Decision No. 51/QĐ-UBCK dated January 20, 2016 of the Chairman of the State Securities Commission of Vietnam approving the establishment of the Thanh Xuan Branch of Phu Hung Securities Corporation;*

*Pursuant to the documentation requesting an update of the address of the Thanh Xuan Branch due to administrative boundary changes of Phu Hung Securities Corporation;*

*At the proposal of the Head of the Securities Business Management Department*

#### DECISION:

- Article 1:** To amend Decision No. 51/QĐ-UBCK dated January 20, 2016, approving the establishment of Phu Hung Securities Corporation – Thanh Xuan Branch, as follows:  
Branch address: Floor 5, UDIC Complex Building, N04 Hoang Dao Thuy, Yen Hoa Ward, Hanoi.
- Article 2:** This Decision takes effect from the date of signing and constitutes an integral part of the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued to Phu Hung Securities Corporation and Decision No. 51/QĐ-UBCK dated January 20, 2016 approving the establishment of the Thanh Xuan Branch.
- Article 3:** The Chief of Office, Head of the Securities Business Management Department, General Director of the Vietnam Stock Exchange, General Director of the Ho Chi Minh City Stock Exchange, General Director of the Hanoi Stock Exchange, General Director

of the Vietnam Securities Depository and Clearing Corporation, Phu Hung Securities Corporation, and relevant parties are responsible for implementing this Decision.



**Recipients:**

- As stated in Article 3;
- Chairman (for information);
- Archive: VT, QLKD (10b)

**ON BEHALF OF THE CHAIRMAN**

*(Signed and sealed)*

**LE THI VIET NGA**



MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION OF VIETNAM

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom - Happiness

No.: 306/QĐ-UBCK

Hanoi, May 29, 2026

## DECISION

### On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

#### CHAIRMAN OF THE STATE SECURITIES COMMISSION OF VIETNAM

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers, and organizational structure of the State Securities Commission of Vietnam;*

*Pursuant to the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation;*

*Pursuant to Decision No. 57/QĐ-UBCK dated January 20, 2016 of the Chairman of the State Securities Commission of Vietnam approving the establishment of the Hai Phong Branch of Phu Hung Securities Corporation;*

*Pursuant to the documentation requesting an update of the address of the Hai Phong Branch due to administrative boundary changes of Phu Hung Securities Corporation;*

*At the proposal of the Head of the Securities Business Management Department*

#### DECISION:

**Article 1:** To amend Decision No. 57/QĐ-UBCK dated January 20, 2016, approving the establishment of Phu Hung Securities Corporation – Hai Phong Branch, as follows:

Branch address: Floor 2, Building No. 18 Tran Hung Dao, Hong Bang Ward, Hai Phong City.

**Article 2:** This Decision takes effect from the date of signing and constitutes an integral part of the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued to Phu Hung Securities Corporation and Decision No. 57/QĐ-UBCK dated January 20, 2016 approving the establishment of the Hai Phong Branch.

**Article 3:** The Chief of Office, Head of the Securities Business Management Department, General Director of the Vietnam Stock Exchange, General Director of the Ho Chi Minh City Stock Exchange, General Director of the Hanoi Stock Exchange, General Director

of the Vietnam Securities Depository and Clearing Corporation, Phu Hung Securities Corporation, and relevant parties are responsible for implementing this Decision.



**Recipients:**

- As stated in Article 3;
- Chairman (for information);
- Archive: VT, QLKD (10b)

**ON BEHALF OF THE CHAIRMAN**

*(Signed and sealed)*

**LE THI VIET NGA**



MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION OF VIETNAM

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom - Happiness

No.: 307/QĐ-UBCK

Hanoi, May 29, 2026

## DECISION

### On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

#### CHAIRMAN OF THE STATE SECURITIES COMMISSION OF VIETNAM

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers, and organizational structure of the State Securities Commission of Vietnam;*

*Pursuant to the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation;*

*Pursuant to Decision No. 48/QĐ-UBCK dated January 20, 2016 of the Chairman of the State Securities Commission of Vietnam approving the establishment of the District 3 Branch of Phu Hung Securities Corporation;*

*Pursuant to the documentation requesting an update of the address of the District 3 Branch due to administrative boundary changes of Phu Hung Securities Corporation;*

*At the proposal of the Head of the Securities Business Management Department*

#### DECISION:

**Article 1:** To amend Decision No. 48/QĐ-UBCK dated January 20, 2016, approving the establishment of Phu Hung Securities Corporation – District 3 Branch, as follows:

Branch address: Floor 4, 458 Nguyen Thi Minh Khai, Ban Co Ward, Ho Chi Minh City.

**Article 2:** This Decision takes effect from the date of signing and constitutes an integral part of the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued to Phu Hung Securities Corporation and Decision No. 48/QĐ-UBCK dated January 20, 2016 approving the establishment of the District 3 Branch.

**Article 3:** The Chief of Office, Head of the Securities Business Management Department, General Director of the Vietnam Stock Exchange, General Director of the Ho Chi Minh City Stock Exchange, General Director of the Hanoi Stock Exchange, General Director

of the Vietnam Securities Depository and Clearing Corporation, Phu Hung Securities Corporation, and relevant parties are responsible for implementing this Decision.



**Recipients:**

- As stated in Article 3;
- Chairman (for information);
- Archive: VT, QLKD (10b)

**ON BEHALF OF THE CHAIRMAN**

*(Signed and sealed)*

**LE THI VIET NGA**



MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION OF VIETNAM

No.: 308/QĐ-UBCK

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom - Happiness

Hanoi, May 29, 2026

## DECISION

### On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

#### CHAIRMAN OF THE STATE SECURITIES COMMISSION OF VIETNAM

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers, and organizational structure of the State Securities Commission of Vietnam;*

*Pursuant to the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation;*

*Pursuant to Decision No. 734/QĐ-UBCK dated November 16, 2020 of the Chairman of the State Securities Commission of Vietnam approving the establishment of the District 1 Branch of Phu Hung Securities Corporation;*

*Pursuant to the documentation requesting an update of the address of the District 1 Branch due to administrative boundary changes of Phu Hung Securities Corporation;*

*At the proposal of the Head of the Securities Business Management Department*

#### DECISION:

- Article 1:** To amend Decision No. 734/QĐ-UBCK dated November 16, 2020, approving the establishment of Phu Hung Securities Corporation – District 1 Branch, as follows:  
Branch address: Room 1003A, Floor 10, No. 81-83-83B-85 Ham Nghi Street, Ben Thanh Ward, Ho Chi Minh City.
- Article 2:** This Decision takes effect from the date of signing and constitutes an integral part of the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued to Phu Hung Securities Corporation and Decision No. 734/QĐ-UBCK dated November 16, 2020 approving the establishment of the District 1 Branch.
- Article 3:** The Chief of Office, Head of the Securities Business Management Department, General Director of the Vietnam Stock Exchange, General Director of the Ho Chi Minh City Stock Exchange, General Director of the Hanoi Stock Exchange, General Director

of the Vietnam Securities Depository and Clearing Corporation, Phu Hung Securities Corporation, and relevant parties are responsible for implementing this Decision.



**Recipients:**

- As stated in Article 3;
- Chairman (for information);
- Archive: VT, QLKD (10b)

**ON BEHALF OF THE CHAIRMAN**

*(Signed and sealed)*

**LE THI VIET NGA**



MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION OF VIETNAM

No.: 309/QĐ-UBCK

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom - Happiness

Hanoi, May 29, 2026

## DECISION

### On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

#### CHAIRMAN OF THE STATE SECURITIES COMMISSION OF VIETNAM

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers, and organizational structure of the State Securities Commission of Vietnam;*

*Pursuant to the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation;*

*Pursuant to Decision No. 50/QĐ-UBCK dated January 20, 2016 of the Chairman of the State Securities Commission of Vietnam approving the establishment of the Tân Bình Branch of Phu Hung Securities Corporation;*

*Pursuant to the documentation requesting an update of the address of the Tân Bình Branch due to administrative boundary changes of Phu Hung Securities Corporation;*

*At the proposal of the Head of the Securities Business Management Department*

#### DECISION:

**Article 1:** To amend Decision No. 50/QĐ-UBCK dated January 20, 2016, approving the establishment of Phu Hung Securities Corporation – Tân Bình Branch, as follows:

Branch address: Park Legend Building, 251 Hoang Van Thu, Tan Son Hoa Ward, Ho Chi Minh City.

**Article 2:** This Decision takes effect from the date of signing and constitutes an integral part of the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued to Phu Hung Securities Corporation and Decision No. 50/QĐ-UBCK dated January 20, 2016 approving the establishment of the Tân Bình Branch.

**Article 3:** The Chief of Office, Head of the Securities Business Management Department, General Director of the Vietnam Stock Exchange, General Director of the Ho Chi Minh City Stock Exchange, General Director of the Hanoi Stock Exchange, General Director

of the Vietnam Securities Depository and Clearing Corporation, Phu Hung Securities Corporation, and relevant parties are responsible for implementing this Decision.



**Recipients:**

- As stated in Article 3;
- Chairman (for information);
- Archive: VT, QLKD (10b)

**ON BEHALF OF THE CHAIRMAN**

*(Signed and sealed)*

**LE THI VIET NGA**