

**CÔNG TY CỔ PHẦN CHỨNG
KHOẢN PHÚ HƯNG
PHU HUNG SECURITIES
CORPORATION**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số/No.: **270**/2026/PHS-PL

Tp. Hồ Chí Minh, ngày 05 tháng 08 năm 2026
Ho Chi Minh City, August 5th, 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE**

Kính gửi/ To: • Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
• Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
• Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;
• Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOẢN PHÚ HƯNG (“PHS”)**
Name of organization: PHU HUNG SECURITIES CORPORATION (“PHS”)

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
- Địa chỉ/Address: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh/21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City
- Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
- E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố Công văn số 7307/UBCK - QLKD ngày 04/08/2026 của Ủy ban Chứng khoán Nhà nước (“UBCKNN”) về Hồ sơ đăng ký chào bán cổ phiếu riêng lẻ của Công ty cổ phần Chứng khoán Phú Hưng.

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses Official Letter No. 7307/UBCK - QLKD dated August 4th, 2026 of the State Securities Commission of Vietnam (“SSC”) regarding the dossier for the private share issuance of Phu Hung Securities Corporation.

Ngày nhận được văn bản nêu trên: 05/08/2026.
Receipt date of the above documents: 05/08/2026.

(Chi tiết vui lòng tham khảo tài liệu đính kèm)
(Further information, please find the attachment)

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 05/08/2026 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.
This information was published on PHS's website on 05/08/2026 in the link at <https://www.phs.vn>, tab About PHS, and Investor Relations.



Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)



Ông/ Mr. CHEN CHIA KEN
Tổng Giám đốc
General Director





MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

No: 7307/UBCK-QLKD

Ha Noi, August 4th, 2026

Re: Registration of Private Placement of
Shares of Phu Hung Securities Corporation

To: **Phu Hung Securities Corporation**

The State Securities Commission (SSC) has received the registration dossier for the private placement of shares of Phu Hung Securities Corporation (the "Company"), as submitted under Application No. 246/2026/PHS-TV dated July 22, 2026. The SSC has the following comments:

1. Organizations and individuals participating in the preparation of dossiers and reporting documents related to securities and securities market activities shall be legally liable for the legality, accuracy, truthfulness, and completeness of such dossiers and documents; organizations and individuals participating in the certification of dossiers and reporting documents shall be legally liable within the scope related to such dossiers and documents, in accordance with Clause 1, Article 11a of the Law on Securities, as added by Clause 4, Article 1 of Law No. 56/2024/QH15.
2. The SSC has announced on its website that it has received the Company's application for private placement of shares. The Company's private placement of shares is carried out in accordance with the plan in the Resolution of the General Meeting of Shareholders No. 01/2026/NQ-ĐHĐCĐ dated April 16th, 2026, the Resolution of the Board of Directors No. 05/106_0626/NQ-HĐQT dated May 26th, 2026 and the provisions of law.
3. Within 10 days from the date the offering concludes, the Company is required to submit a report on the offering results - accompanied by confirmation from the bank holding the escrow account regarding the proceeds - to the SSC and to disclose the results on the Company's website and the Stock Exchange's website, in accordance with Clause 4, Article 48 of the Government's Decree No. 155/2020/ND-CP dated December 31, 2020, detailing the implementation of a number of articles of the Law on Securities.

The State Securities Commission hereby notifies the Company for its information./.

Recipients:

- As above;
- Chairman (for reporting);
- VNX, HNX, VSDC;
- File: VT, QLKD (07b).

On behalf of Chairman

**HEAD OF SECURITIES BUSINESS MANAGEMENT
DEPARTMENT**

(Singed)

HO THI HANG