

**CÔNG TY CỔ PHẦN CHỨNG
KHOÁN PHÚ HUNG
PHU HUNG SECURITIES
CORPORATION**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số/No.: **328**/2026/PHS-PL

Thành phố Hồ Chí Minh, ngày 14 tháng 09 năm 2026

Ho Chi Minh City, September 14, 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE**

Kính gửi/ To:

- Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;
- Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HUNG (“PHS”)**

Name of organization: **PHU HUNG SECURITIES CORPORATION (“PHS”)**

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
- Địa chỉ/Address: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ Thành phố Hồ Chí Minh/ *21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City*.
- Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
- E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố Quyết định về việc sửa đổi Quyết định chấp thuận thành lập Chi nhánh công ty chứng khoán số 561/QĐ-UBCK do Ủy ban chứng khoán Nhà nước cấp ngày 14/09/2026 đối với Công ty cổ phần Chứng khoán Phú Hưng - Chi nhánh Thanh Xuân (chi tiết tham khảo tài liệu đính kèm).

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses Decision on the amendment of the Decision approving the establishment of the securities company branch No. 561/QĐ-UBCK issued by the State Securities Commission of Vietnam on September 14, 2026 for Phu Hung Securities Corporation -Thanh Xuan Branch (further information, please find the attachment).

Ngày nhận được văn bản nêu trên/ *Receipt date of the above document*: 14/09/2026.

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 14/09/2026 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

This information was published on PHS's website on September 14, 2026 in the link at <https://www.phs.vn>, tab About PHS, and Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:

Attached Document:

- Quyết định về việc sửa đổi
Quyết định chấp thuận thành
lập Chi nhánh.

*Decisions on amending the
Decision approving the
establishment of the Branch.*

ĐẠI DIỆN TỔ CHỨC

ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signature, full name, position, and seal)



Ông/ Mr. CHEN CHIA KEN

Tổng Giám đốc

General Director

DECISION

On the Amendment of the Decision Approving the Establishment of a Securities Company Branch

CHAIRMAN OF THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers, and organizational structure of the State Securities Commission of Vietnam;

Pursuant to the Certificate of Establishment and Operation No. 122/GP-UBCK dated January 20, 2016 issued by the Chairman of the State Securities Commission of Vietnam to Phu Hung Securities Corporation;

Pursuant to Decision No. 51/QD-UBCK dated January 20, 2016 of the Chairman of the State Securities Commission of Vietnam approving the establishment of the Thanh Xuan Branch of Phu Hung Securities Corporation;

Pursuant to the documentation requesting an update of the address of the Thanh Xuan Branch of Phu Hung Securities Corporation;

At the proposal of the Head of the Securities Business Management Department

DECISION:

Article 1: To amend Decision No. 51/QD-UBCK dated January 20, 2016, issued by the Chairman of the State Securities Commission approving the establishment of Phu Hung Securities Corporation – Thanh Xuan Branch by Phu Hung Securities Corporation, with the following contents:

Branch address: Floor 5, Office C, Taisei Square Hanoi Building, No. 289 Khuat Duy Tien Street, Dai Mo Ward, Ha Noi City.

Article 2: This Decision takes effect from the date of signing and constitutes an integral part of Establishment and Operation License No. 122/GP-UBCK dated January 20, 2016, issued by the Chairman of the State Securities Commission to Phu Hung Securities Corporation, and Decision No. 51/QD-UBCK dated January 20, 2016, issued by the Chairman of the State Securities Commission approving the establishment of Phu

Hung Securities Corporation – Thanh Xuan Branch by Phu Hung Securities Corporation.

Article 3: The Chief of Office, Head of the Securities Business Management Department, General Director of the Vietnam Stock Exchange, General Director of the Ho Chi Minh City Stock Exchange, General Director of the Hanoi Stock Exchange, General Director of the Vietnam Securities Depository and Clearing Corporation, Phu Hung Securities Corporation, and relevant parties are responsible for implementing this Decision.

Recipients:

- *As stated in Article 3;*
- *Chairman (for information);*
- *Archive: VT, QLKD (10b)*

ON BEHALF OF THE CHAIRMAN

VICE CHAIRMAN

(Signed and sealed)

NGUYEN HOANG DUONG