

Số/No.: 49/2026/PHS-PL

Tp. Hồ Chí Minh, ngày 22 tháng 07 năm 2026
Ho Chi Minh City, July 22, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/ To:

- Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;
- Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG (“PHS”)**

Name of organization: PHU HUNG SECURITIES CORPORATION (“PHS”)

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
- Địa chỉ/ Address: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh/ *21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City*.
- Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
- E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố các Nghị quyết của Hội đồng quản trị như sau:

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses the Resolutions of the Board of Directors as follows:

- i. Nghị quyết số 02/108_0826/NQ-HĐQT ngày 22/07/2026, Hội đồng Quản trị thông qua đề nghị Ủy ban Chứng khoán Nhà nước dừng việc xem xét hồ sơ đăng ký chào bán cổ phiếu riêng lẻ của Công ty đã nộp ngày 04/05/2026.

Resolution No. 02/108_0826/NQ-HĐQT dated July 22, 2026, the Board of Directors passed the request to the State Securities Commission of Vietnam to cease the review of registration dossier for the private placement of shares submitted by the Company on May 4th, 2026.

- ii. Nghị quyết số 03/108_0826/NQ-HĐQT ngày 22/07/2026, Hội đồng Quản trị thông qua hồ sơ đăng ký chào bán cổ phiếu riêng lẻ theo quy định tại Điều 43 Nghị định số 155/2020/NĐ-CP.
- Resolution No. 03/108_0826/NQ-HĐQT dated July 22, 2026, the Board of Directors passed the private placement application dossier in accordance with the Article 43 of Decree No.155/2020/NĐ-CP.*

(Chi tiết tham khảo tài liệu đính kèm/ *Further information, please find the attachment*).



3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 22/07/2026 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

This information was published on PHS's website on July 22, 2026 in the link at <https://www.phs.vn>, tab About PHS, and Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:

Attached Document:

- Các Nghị quyết HĐQT.
- *The Board Resolutions.*

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)



Ông/ Mr. CHEN CHIA KEN
Tổng Giám đốc
General Director



Ref. No.: 02/108_0826/NQ-HDQT

Ho Chi Minh City, July 22nd, 2026

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2025 – 2028)

- Pursuant to Law on Enterprises No. 59/2020/QH14;
- Pursuant to Law on Securities No. 54/2019/QH14;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/108_0826/BB-HDQT dated July 22nd, 2026 of Board of Directors of the Company;

RESOLVE:

Article 1: Resolution 1

The Board of Directors passed the request State Securities Commission of Vietnam to cease the review of the private placement registration dossier of PHS shares, main details as below:

1. The Board of Directors hereby approves the request to the State Securities Commission of Vietnam to cease the review of the registration dossier for the private placement of shares submitted by the Company on May 4th, 2026.
2. The Board of Directors authorizes the General Director and/or the person authorized by the General Director to carry out all necessary procedures to request the cessation of the review of the above-mentioned registration dossier and to work with the relevant state authorities in accordance with applicable laws and regulations.

Article 2: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at Company Secretary;
- Archived at Company Secretariat.


For and on behalf of B.O.D.
Chairman

Albert Kwang-Chin Ting

Ref. No.: 03/108_0826/NQ-HĐQT

Ho Chi Minh City, July 22nd, 2026

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2025 – 2028)

- Pursuant to Law on Enterprises No. 59/2020/QH14;
- Pursuant to Law on Securities No. 54/2019/QH14;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/108_0826/BB-HĐQT dated July 22nd, 2026 of Board of Directors of the Company;

RESOLVE:

Article 1: Resolution 2

The Board of Directors (BOD) passed the private placement application dossier in accordance with the Article 43 of Decree No.155/2020/NĐ-CP, main details as below:

1. The documents approved by the BOD under the resolution include:
 - 1.1. Application for the private placement of shares No. 246/2026/PHS-TV dated July 22nd, 2026; Resolution of the Annual General Meeting of Shareholders (AGMS) in 2026 No. 01/2026/NQ-ĐHĐCĐ dated April 16th, 2026;
 - 1.2. Minutes of the AGMS in 2026 No. 01/2026/BB-ĐHĐCĐ dated April 16th, 2026;
 - 1.3. Proposal to the AGMS in 2026 No. 07/2026/TTr-HĐQT dated April 16th, 2026 on the approval of the Plan on private placement of shares to increase charter capital, and the attached plan;
 - 1.4. Minutes of vote counting No. 02 of the AGMS dated April 16th, 2026 for the approval of the Reports and proposals submitted to the 2026 AGMS, including the separate vote count of shareholders having interests related to the private placement of shares;
 - 1.5. BOD resolution No. 05/106_0626/NQ-HĐQT dated May 28th, 2026 of the BOD of Phu Hung Securities Corporation (PHS) regarding the approval of certain matters related to the registration of the private placement of shares and the plan to ensure that the share issuance complies with foreign ownership limit regulations;
 - 1.6. Confirmation letter No. 2151/GXN-GDKH dated June 11th, 2026 issued by the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia branch, certifying the escrow account for receiving subscription proceeds from the private placement of shares of PHS;
 - 1.7. Letter No. 104/2026/PHS-TV dated April 28th, 2026 of PHS providing the commitment that the private placement of shares will comply with the regulations on cross-ownership;

- 1.8. Commitment letters of the investors on compliance with the cross-ownership regulations in the private placement;
- 1.9. Commitment letters executed by the investors on not holding more than 5% of the charter capital of other securities companies.
- 1.10. Letter No. 242/2026/PHS-TV dated July 22nd, 2026 regarding commitment on the maintenance of conditions for the grant of the establishment and securities business operation license;
- 1.11. Enterprise registration certificate No. 0313642887 first issued by the Ho Chi Minh City Department of Finance on January 20th, 2016, as amended for the 16th time on June 10th, 2026;
- 1.12. Establishment and operation license No. 122/GP-UBCK dated January 20th, 2016 issued by the State Securities Commission of Vietnam, as amended from time to time, with the latest amendment being amending license No. 61/GPĐC-UBCK dated May 29th, 2026;
- 1.13. Charter of PHS adopted on April 16th, 2026;
- 1.14. Information documents provided to investors in relation to the private placement (if any): None;
- 1.15. Documents relating to the use of proceeds from the private placement (if any): None.
2. The Board of Directors authorizes the General Director to implement the plan on private placement of shares in compliance with current legal regulations.

Article 2: Organization and Implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at Company Secretary;
- Archived at Company Secretariat.

For and on behalf of B.O.D.
Chairman

Albert Kwang-Chin Ting