

**CÔNG TY CỔ PHẦN CHỨNG
KHOÁN PHÚ HƯNG
PHU HUNG SECURITIES
CORPORATION**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số/No.: 330/2026/PHS-PL

Thành phố Hồ Chí Minh, ngày 16 tháng 09 năm 2026
Ho Chi Minh City, September 16, 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE**

Kính gửi/ To:

- Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam;*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange;*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange;*
- Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange.*

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG (“PHS”)**
Name of organization: PHU HUNG SECURITIES CORPORATION (“PHS”)
- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
 - Địa chỉ/Address: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh/ *21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.*
 - Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
 - E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure:*

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố về việc thay đổi mẫu con dấu của Công ty và Chi nhánh Quận 3, Chi nhánh Tân Bình, Chi nhánh Quận 1, Chi nhánh Hải Phòng, cụ thể như sau:
According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses the change of the seal for the Company and District 3 Branch, Tan Binh Branch, District 1 Branch, and Hai Phong Branch, as follows:

Các Giấy chứng nhận do Cục Cảnh sát Quản lý hành chính về trật tự xã hội – Bộ Công an cấp, bao gồm:

Certificates issued by the Police Department for Administrative Management of Social Order – Ministry of Public Security, including:

- a. Giấy chứng nhận thu hồi con dấu của Công ty cổ phần Chứng khoán Phú Hưng số 1192/26/THCD ngày 15/09/2026;

Certificate of seal withdrawal of Phu Hung Securities Corporation No. 1192/26/THCD dated September 15, 2026;

- b. Giấy chứng nhận thu hồi con dấu của Công ty cổ phần Chứng khoán Phú Hưng – Chi nhánh Quận 3, Chi nhánh Tân Bình, Chi nhánh Quận 1, Chi nhánh Hải Phòng số 1193/26/THCD ngày 15/09/2026;

Certificate of seal withdrawal of Phu Hung Securities Corporation – District 3 Branch, Tan Binh Branch, District 1 Branch, and Hai Phong Branch No. 1193/26/THCD dated September 15, 2026;

- c. Giấy chứng nhận đăng ký mẫu con dấu của Công ty cổ phần Chứng khoán Phú Hưng số 306-01523/2026/ĐKMCD ngày 09/09/2026;

Certificate of seal registration of Phu Hung Securities Corporation No. 306-01523/2026/ĐKMCD dated September 9, 2026;

- d. Giấy chứng nhận đăng ký mẫu con dấu của Công ty cổ phần Chứng khoán Phú Hưng – Chi nhánh Quận 3 số 306-01524/2026/ĐKMCD ngày 09/09/2026;

Certificate of seal registration of Phu Hung Securities Corporation – District 3 Branch No. 306-01524/2026/ĐKMCD dated September 9, 2026;

- e. Giấy chứng nhận đăng ký mẫu con dấu của Công ty cổ phần Chứng khoán Phú Hưng – Chi nhánh Tân Bình số 306-01525/2026/ĐKMCD ngày 09/09/2026;

Certificate of seal registration of Phu Hung Securities Corporation – Tan Binh Branch No. 306-01525/2026/ĐKMCD dated September 9, 2026;

- f. Giấy chứng nhận đăng ký mẫu con dấu của Công ty cổ phần Chứng khoán Phú Hưng – Chi nhánh Quận 1 số 306-01526/2026/ĐKMCD ngày 09/09/2026;

Certificate of seal registration of Phu Hung Securities Corporation – District 1 Branch No. 306-01526/2026/ĐKMCD dated September 9, 2026;

- g. Giấy chứng nhận đăng ký mẫu con dấu của Công ty cổ phần Chứng khoán Phú Hưng – Chi nhánh Hải Phòng số 306-01527/2026/ĐKMCD ngày 09/09/2026.

Certificate of seal registration of Phu Hung Securities Corporation - Hai Phong Branch No. 306-01527/2026/ĐKMCD dated September 9, 2026.

- Lý do thay đổi: Cập nhật địa chỉ do thay đổi địa giới hành chính.

Reason for change: Update the address due to changes in administrative boundaries.

- Ngày hiệu lực con dấu mới/Date of effectiveness of the new seals: 15/09/2026.

- Ngày nhận được các văn bản nêu trên/ Receipt date of the above document: 15/09/2026.

(Chi tiết tham khảo tài liệu đính kèm/ Further information, please find the attachment).

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 16/09/2026 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

This information was published on PHS's website on September 16, 2026 at the following link: <https://www.phs.vn>, tab About PHS, section Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and shall be fully responsible before the law for the contents of the information disclosed.

Tài liệu đính kèm:

Attached Document:

- Các Giấy chứng nhận thu hồi con dấu của Công ty và các Chi nhánh;
Certificates of seal withdrawal of the Company and Branches;
- Các Giấy chứng nhận đăng ký mẫu con dấu của Công ty và các Chi nhánh;
Certificates of seal registration of the Company and Branches.

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)



Ông/ Mr. CHEN CHIA KEN
Tổng Giám đốc
General Director





MINISTRY OF PUBLIC SECURITY
POLICE DEPARTMENT FOR ADMINISTRATIVE
MANAGEMENT OF SOCIAL ORDER

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

No.: 1192/26/THCD

Ha Noi City, day 15 month 09 year 2026

CERTIFICATE OF SEAL WITHDRAWAL

*Pursuant to Decree No. 99/2016/ND-CP dated 01 July 2016 of the Government on the management and use of seals;
Pursuant to the application dossier for re-registration of the seal specimen of Phu Hung Securities Corporation.*

At ... on 15 September 2026,

At the Police Department for Administrative Management of Social Order
withdraw the following seal of: Phu Hung Securities Corporation

Reason for seal withdrawal: Change of address

Full name of the person submitting the seal: Le Thu Ha

ID No.

Date of issue:

**SEAL SPECIMEN UPON
WITHDRAWAL**
(Sealed)

SEAL SPECIMEN AFTER DESTRUCTION
(Sealed)

SEAL SUBMITTER
(Signed)

Le Thu Ha

**SEAL RECOVERY
OFFICER**
(Signed)

Dam Thu Thuy

**FOR THE DIRECTOR GENERAL
DEPUTY DIRECTOR GENERAL**
(Signed & sealed)

Colonel Phung Xuan Tien



MINISTRY OF PUBLIC SECURITY
POLICE DEPARTMENT FOR ADMINISTRATIVE
MANAGEMENT OF SOCIAL ORDER

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

No.: 1193/26/THCD

Ha Noi City, day 15 month 09 year 2026

CERTIFICATE OF SEAL WITHDRAWAL

*Pursuant to Decree No. 99/2016/ND-CP dated 01 July 2016 of the Government on the management and use of seals;
Pursuant to the application dossier for re-registration of the seal specimen of Phu Hung Securities Corporation.*

At ... on 15 September 2026,

At the Police Department for Administrative Management of Social Order
withdraw the following seal of: Phu Hung Securities Corporation – District 3 branch,
District 1 branch, Tan Binh Branch, Hai Phong branch.

Reason for seal withdrawal: Change of address

Full name of the person submitting the seal: Le Thu Ha

ID No.

Date of issue:

**SEAL SPECIMEN UPON
WITHDRAWAL**

(Sealed)

SEAL SPECIMEN AFTER DESTRUCTION

(Sealed)

SEAL SUBMITTER

(Signed)

Le Thu Ha

**SEAL RECOVERY
OFFICER**

(Signed)

Dam Thu Thuy

**FOR THE DIRECTOR GENERAL
DEPUTY DIRECTOR GENERAL**

(Signed & sealed)

Colonel Phung Xuan Tien



MINISTRY OF PUBLIC SECURITY
POLICE DEPARTMENT FOR ADMINISTRATIVE
MANAGEMENT OF SOCIAL ORDER

SOCIALIST REPUBLIC OF VIETNAM
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No.: 306-01523/2026/ĐKMCD

Ha Noi City, day 09 month 09 year 2026

CERTIFICATE OF SEAL REGISTRATION

Based on Government Decree No. 99/2016/ND-CP dated July 1, 2016, on the management and use of seals, as amended and supplemented in 2023 and 2026.

**POLICE DEPARTMENT FOR ADMINISTRATIVE MANAGEMENT OF SOCIAL ORDER -
MINISTRY OF PUBLIC SECURITY**

Certificate of Seal Specimen for: _____

PHU HUNG SECURITIES CORPORATION

Registered, valid from September 15, 2026

Seal registration recorded in Register No.: 02/26, Volume No.: 70

Identification number of the agency/organization (if any):

REGISTERED SEAL SPECIMEN

(Sealed)

FOR THE DIRECTOR GENERAL

DEPUTY DIRECTOR GENERAL

(Signed & sealed)

Colonel Phung Xuan Tien



MINISTRY OF PUBLIC SECURITY
POLICE DEPARTMENT FOR ADMINISTRATIVE
MANAGEMENT OF SOCIAL ORDER

SOCIALIST REPUBLIC OF VIETNAM
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No.: 306-01524/2026/ĐKMCD

Ha Noi City, day 09 month 09 year 2026

CERTIFICATE OF SEAL REGISTRATION

Based on Government Decree No. 99/2016/ND-CP dated July 1, 2016, on the management and use of seals, as amended and supplemented in 2023 and 2026.

**POLICE DEPARTMENT FOR ADMINISTRATIVE MANAGEMENT OF SOCIAL ORDER -
MINISTRY OF PUBLIC SECURITY**

Certificate of Seal Specimen for:

PHU HUNG SECURITIES CORPORATION – DISTRICT 3 BRANCH

Registered, valid from September 15, 2026

Seal registration recorded in Register No.: 02/26, Volume No.: 70

Identification number of the agency/organization (if any):

REGISTERED SEAL SPECIMEN

(Sealed)

FOR THE DIRECTOR GENERAL

DEPUTY DIRECTOR GENERAL

(Signed & sealed)

Colonel Phung Xuan Tien



MINISTRY OF PUBLIC SECURITY
POLICE DEPARTMENT FOR ADMINISTRATIVE
MANAGEMENT OF SOCIAL ORDER

SOCIALIST REPUBLIC OF VIETNAM
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No.: 306-01525/2026/ĐKMCD

Ha Noi City, day 09 month 09 year 2026

CERTIFICATE OF SEAL REGISTRATION

Based on Government Decree No. 99/2016/ND-CP dated July 1, 2016, on the management and use of seals, as amended and supplemented in 2023 and 2026.

**POLICE DEPARTMENT FOR ADMINISTRATIVE MANAGEMENT OF SOCIAL ORDER -
MINISTRY OF PUBLIC SECURITY**

Certificate of Seal Specimen for:

PHU HUNG SECURITIES CORPORATION – TAN BINH BRANCH

Registered, valid from September 15, 2026

Seal registration recorded in Register No.: 02/26, Volume No.: 70

Identification number of the agency/organization (if any):

REGISTERED SEAL SPECIMEN

(Sealed)

FOR THE DIRECTOR GENERAL

DEPUTY DIRECTOR GENERAL

(Signed & sealed)

Colonel Phung Xuan Tien

MINISTRY OF PUBLIC SECURITY
POLICE DEPARTMENT FOR ADMINISTRATIVE
MANAGEMENT OF SOCIAL ORDER

SOCIALIST REPUBLIC OF VIETNAM
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No.: 306-01526/2026/ĐKMCD

Ha Noi City, day 09 month 09 year 2026

CERTIFICATE OF SEAL REGISTRATION

Based on Government Decree No. 99/2016/ND-CP dated July 1, 2016, on the management and use of seals, as amended and supplemented in 2023 and 2026.

**POLICE DEPARTMENT FOR ADMINISTRATIVE MANAGEMENT OF SOCIAL ORDER -
MINISTRY OF PUBLIC SECURITY**

Certificate of Seal Specimen for:

PHU HUNG SECURITIES CORPORATION – DISTRICT 1 BRANCH

Registered, valid from September 15, 2026

Seal registration recorded in Register No.: 02/26, Volume No.: 70

Identification number of the agency/organization (if any):

REGISTERED SEAL SPECIMEN

(Sealed)

FOR THE DIRECTOR GENERAL

DEPUTY DIRECTOR GENERAL

(Signed & sealed)

Colonel Phung Xuan Tien

MINISTRY OF PUBLIC SECURITY
POLICE DEPARTMENT FOR ADMINISTRATIVE
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SOCIALIST REPUBLIC OF VIETNAM
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No.: 306-01527/2026/ĐKMCD

Ha Noi City, day 09 month 09 year 2026

CERTIFICATE OF SEAL REGISTRATION

Based on Government Decree No. 99/2016/ND-CP dated July 1, 2016, on the management and use of seals, as amended and supplemented in 2023 and 2026.

**POLICE DEPARTMENT FOR ADMINISTRATIVE MANAGEMENT OF SOCIAL ORDER -
MINISTRY OF PUBLIC SECURITY**

Certificate of Seal Specimen for:

PHU HUNG SECURITIES CORPORATION – HAI PHONG BRANCH

Registered, valid from September 15, 2026

Seal registration recorded in Register No.: 02/26, Volume No.: 71

Identification number of the agency/organization (if any):

REGISTERED SEAL SPECIMEN
(Sealed)

**FOR THE DIRECTOR GENERAL
DEPUTY DIRECTOR GENERAL**
(Signed & sealed)

Colonel Phung Xuan Tien