CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG ***PHU HUNG SECURITIES CORPORATION*** ---	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc** ***THE SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom - Happiness*** ---
Số/*No.*: ...452./2026/PHS-PL	*Thành phố Hồ Chí Minh, ngày 17 tháng 11 năm 2026* *Ho Chi Minh City, November 17, 2026*

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/ *To:*

- **Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam;***
- **Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;**
- **Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;**
- **Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.**

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG ("PHS")**
   Name of organization: ***PHU HUNG SECURITIES CORPORATION ("PHS")***
   - Mã chứng khoán/Mã thành viên/*Stock code/Broker code*: PHS/022
   - Địa chỉ/ *Address*: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh/ *21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.*
   - Điện thoại liên hệ/*Tel.*: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
   - E-mail: cbtt_phs@phs.vn
2. Nội dung thông tin công bố/ *Contents of disclosure*:

   Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố Thông báo lấy ý kiến cổ đông bằng văn bản và các tài liệu lấy ý kiến cổ đông bằng văn bản.
   According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses on Notice of written shareholder consultation and the documents for written shareholder consultation.

   (Chi tiết tham khảo tài liệu đính kèm/ *Further information, please find the attachment).*
3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 17/11/2026 tại đường dẫn: https://www.phs.vn, phần Về PHS, mục Quan hệ cổ đông.
   This information was published on PHS's website on November 17, 2026 in the link at https://www.phs.vn, tab About PHS, and Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:
Attached Document:

- Thông báo lấy ý kiến cổ đông bằng văn bản và các tài liệu lấy ý kiến cổ đông bằng văn bản.
- *Notice of written shareholder consultation and the documents for written shareholder consultation.*

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE
(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG
P. TÂN MỸ - T.P HỒ CHÍ MINH

Ông/ *Mr.* CHEN CHIA KEN
Tổng Giám đốc
General Director

PHU HUNG SECURITIES CORPORATION	**SOCIALIST REPUBLIC OF VIETNAM** **Independence – Freedom – Happiness**
No.: 01/2026/TB-HĐQT-PHS	*Ho Chi Minh City, day 17 month 11 year 2026*

NOTICE OF WRITTEN SHAREHOLDER CONSULTATION

To: SHAREHOLDERS OF PHU HUNG SECURITIES CORPORATION

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amendments, supplements, and implementing guidelines;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amendments, supplements, and implementing guidelines;
- Pursuant to the current Charter of Phu Hung Securities Corporation.

The Board of Directors (the "BOD") of Phu Hung Securities Corporation (the "Company") respectfully announces the written consultation of shareholders on the following contents:

1. Shareholders Subject to Consultation

Shareholders whose names appear on the Shareholder List finalized as of November 13, 2026.

2. Contents of the Written Consultation

- To pass the provision of clearing, settlement services for securities transactions under the Central Counterparty (CCP) mechanism.
- To pass the amendment of the Charter.

The written opinion documents are disclosed on the Company's website at https://www.phs.vn, tap About PHS, section Shareholder Relations.

3. Time and Method of Providing Shareholders' Opinions:

- The Opinion Form must be received by the Company no later than 15:00 on December 4, 2026. The time of receipt shall be the time the Company signs for the registered mail or, for forms delivered in person, the time recorded in the Opinion Form Handover and Receipt Minutes.
- Opinion Forms sent to the Company after the above deadline shall be deemed invalid.
- Method of providing shareholders' opinions: The Opinion Form must be placed in a sealed envelope and sent in person or by registered mail to the following address:

- **Phu Hung Securities Corporation**
- Address: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.
- Recipient: Ms. Nguyen Thi Bich Dieu – Company Secretariat.

- Expected time for compiling shareholders' opinions and vote counting: After 15:00 on December 4, 2026.

The Company kindly requests that Shareholders indicate their voting opinions on the Opinion Form and send it back to the Company within the prescribed deadline. The Company will conduct the vote counting, compile the results of the written consultation, and notify Shareholders of the results in accordance with applicable laws and the Company's Charter.

Respectfully./.

Recipient:
- As above;
- Archive: Company Secretariat

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

S.G.P: 122 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG
P. TÂN MỸ - T.P HỒ CHÍ MINH

ALBERT KWANG-CHIN TING

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG ***PHU HUNG SECURITIES CORPORATION***	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc** ***THE SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom - Happiness***

Tp. Hồ Chí Minh, ngày 17 tháng 11 năm 2026
Ho Chi Minh City, day 17 month 11 year 2026

PHIẾU LẤY Ý KIẾN CỔ ĐÔNG BẰNG VĂN BẢN

SHAREHOLDERS' WRITTEN OPINION FORM

Kính gửi/*To*: Quý Cổ đông/ *Shareholder:*

Mã số cổ đông/*Shareholder code:* .....

Số định danh cá nhân/CCCD/Hộ chiếu/Giấy chứng nhận đăng ký doanh nghiệp hoặc giấy tờ pháp lý tương đương
Personal identification No./ID card/Passport/Enterprise Registration Certificate No.: .....

Ngày cấp/ *Date of issue:* .....

Nơi cấp/ *Place of issue:* .....

Quốc tịch/*Nationality:* .....

Địa chỉ liên lạc (đối với cổ đông là cá nhân hoặc người đại diện của cổ đông là tổ chức) hoặc địa chỉ trụ sở chính (đối với cổ đông tổ chức):
Contact address (for individual shareholder or representative of institutional shareholder) or head office address (for institutional shareholder): .....

Số lượng cổ phần sở hữu/ *Number of shares owned:* .....

Số phiếu biểu quyết/ *Numbers of votes:* .....

I. THÔNG TIN VỀ CÔNG TY/ *COMPANY'S INFORMATION*

Tên Công ty: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG**

Name of Company: ***PHU HUNG SECURITIES CORPORATION***

Địa chỉ trụ sở chính: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh, Việt Nam.

Head Office address: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City, Viet Nam.

Mã số doanh nghiệp: Số 0313642887 do Sở Kế hoạch và Đầu tư thành phố Hồ Chí Minh cấp lần đầu ngày 20/01/2016, Sở Tài chính thành phố Hồ Chí Minh cấp thay đổi lần thứ 17 ngày 01/10/2026.

Enterprise Registration Certificate No.: 0313642887 *issued for the first time on January 20, 2016 by the Ho Chi Minh City Department of Planning and Investment, and amended for the 17th time on October 1, 2026 by the Ho Chi Minh City Department of Finance.*

Giấy phép thành lập và hoạt động số 122/GP-UBCK do Ủy ban Chứng khoán Nhà nước cấp ngày 20/01/2016.

Establishment and operation license No. 122/GP-UBCK issued by State Securities Committee on January 20th, 2016.

II. MỤC ĐÍCH LẤY Ý KIẾN/ *PURPOSE OF COLLECTING OPINIONS:*

Hội đồng Quản trị Công ty Cổ phần Chứng khoán Phú Hưng kính trình Đại Hội đồng Cổ đông thông qua các vấn đề sau đây:

The Board of Directors of Phu Hung Securities Corporation respectfully submits to the General Meeting of Shareholders for passing the following issues:

- Thông qua việc cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo cơ chế đối tác bù trừ trung tâm (CCP) theo tờ trình số 09/2026/TTr-HĐQT.

  To pass the provision of clearing, settlement services for securities transactions under the Central Counterparty (CCP) mechanism, according to the Submission No. 09/2026/TTr-HĐQT.

- Thông qua việc sửa đổi Điều lệ theo tờ trình số 10/2026/TTr-HĐQT

  To pass the amendment of the Charter according to the Submission No. 10/2026/TTr-HĐQT.

- Dự thảo Nghị quyết Đại Hội đồng Cổ đông và các tài liệu đính kèm theo Phiếu lấy ý kiến cổ đông bằng văn bản được đăng tải trên trang thông tin điện tử của Công ty: https://www.phs.vn phần về PHS, mục Quan hệ cổ đông.

  Draft Resolution of the General Meeting of Shareholders and the Documents attached to the shareholders' written opinion form are disclosed on the Company's website at https://www.phs.vn, tap About PHS, section Shareholder Relations.

III. VẤN ĐỀ LẤY Ý KIẾN/ *VOTING ISSUE*

Vấn đề 1: Thông qua việc cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo cơ chế đối tác bù trừ trung tâm (CCP) theo tờ trình số 09/2026/TTr-HĐQT.

Issue 1: To pass the provision of clearing, settlement services for securities transactions under the Central Counterparty (CCP) mechanism, according to the Submission No. 09/2026/TTr-HĐQT.

Ý kiến biểu quyết/ *Opinion:*

☐ Tán thành/ *Agree* ☐ Không tán thành/ *Disagree* ☐ Không có ý kiến/ *No comment*

Vấn đề 2: Thông qua việc sửa đổi Điều lệ theo tờ trình số 10/2026/TTr-HĐQT

Issue 2: To pass the amendment of the Charter according to the Submission No. 10/2026/TTr-HĐQT.

Ý kiến biểu quyết/ *Opinion:*

☐ Tán thành/ *Agree* ☐ Không tán thành/ *Disagree* ☐ Không có ý kiến/ *No comment*

IV. CÁCH THỨC BIỂU QUYẾT/ *METHOD OF VOTING*

1. Quý Cổ đông ghi nhận ý kiến của mình bằng cách đánh dấu **(X)** hoặc **(✓)** vào 01 trong 03 ô sau: **Tán thành**, hoặc **Không tán thành** hoặc **Không có ý kiến** tại vấn đề lấy ý kiến. Trong trường hợp cổ đông đánh dấu từ 02 ô trở lên tại cùng một vấn đề cần lấy ý kiến, phần biểu quyết tại vấn đề tương ứng đó sẽ không hợp lệ.

   The Shareholder *records the opinion by marking **(X)** or **(✓)** in one of three boxes only: **Agree** or **Disagree** or **No comment** for each issue. In case, shareholder marks 02 or more boxes on the same issue for collecting opinion, the vote for such issue shall be invalid.*

2. Phiếu lấy ý kiến không hợp lệ trong các trường hợp sau: (i) Phiếu lấy ý kiến gửi lại cho Công ty không có chữ ký của người đại diện theo pháp luật hoặc người đại diện theo ủy quyền và dấu (nếu có) (đối với cổ đông là tổ chức); (ii) phong bì đựng Phiếu lấy ý kiến bị mở trước khi kiểm phiếu; (iii) Phiếu lấy ý kiến bị sửa chữa, tẩy xóa, đánh dấu thêm ký hiệu; (iv) Phiếu lấy ý kiến gửi về Công ty sau thời hạn đã xác định tại nội dung Phiếu lấy ý kiến.

   The Opinion Form shall be deemed invalid in one of the following cases: (i) The Opinion Form returned to the Company is not signed by the legal representative or the authorized representative and does not have the Company seal (if any) (applicable to institutional shareholders); (ii) the envelope containing the Opinion Form has been opened before the opinion form counting starts; (iii) The Opinion Form is modified, erased, marked with additional symbols; (iv) Sent to the Company after the time limit specified in the content of the Opinion Form.

3. Nếu Quý Cổ đông không đánh dấu vào bất kỳ ô nào trong 03 ô (Tán thành, Không tán thành, Không có ý kiến) nhưng Cổ đông vẫn ký, ghi rõ họ tên và đóng dấu (nếu cổ đông là tổ chức) thì được xem là "Không có ý kiến" đối với vấn đề không được đánh dấu đó.

If the Shareholders do not mark any box in the 03 boxes (Agree, Disagree, No comment) but the Shareholders still sign, specify their full name and stamped (if the shareholder is an institutional) is considered "No comment" on the unmarked issue.

4. Trong trường hợp Quý cổ đông ủy quyền cho người khác thực hiện quyền biểu quyết, người được ủy quyền phải gửi kèm Văn bản ủy quyền theo mẫu của Công ty hoặc văn bản ủy quyền khác có đủ nội dung theo khoản 3 Điều 24 Điều lệ Công ty. Quý cổ đông có thể tải về theo đường link: https://www.phs.vn phần về PHS, mục Quan hệ cổ đông. Phiếu lấy ý kiến do người được ủy quyền ký mà không gửi kèm theo Văn bản ủy quyền được coi là Phiếu không hợp lệ.

   If the shareholder authorizes another person to exercise voting rights, the authorized person must enclose the Power of Attorney using the Company's prescribed form or another authorization document containing all required information in accordance with Clause 3, Article 24 of the Company's Charter, published on the Company's website at: https://www.phs.vn, tap About PHS, section Shareholder Relations. The Opinion Form signed by the authorized person that is not enclosed with the Power of Attorney is considered invalid.

V. THỜI HẠN GỬI PHIẾU LẤY Ý KIẾN/ *DEADLINE FOR SENDING THE WRITTEN OPINION FORM:*

Phiếu lấy ý kiến của Cổ đông xin gửi về Công ty ***chậm nhất 15:00 ngày 04/12/2026*** bằng hình thức gửi thư trực tiếp hoặc gửi thư bảo đảm qua bưu điện theo địa chỉ như sau:

*The Opinion Form shall be sent to the Company for final collection **no later than 15:00 on December 4, 2026** either in person or by registered mail to the following address:*

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG/

PHU HUNG SECURITIES CORPORATION

- Địa chỉ trụ sở chính: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, phường Tân Mỹ, Thành phố Hồ Chí Minh.

  Head Office address: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City, Viet Nam.

- Điện thoại/Tel: (+84 28) 5413 5479.

- Người nhận/*Receiver*: Bà/*Ms* **Nguyễn Thị Bích Diệu** – Ban Thư ký Công ty/ *Company Secretariat.*

Quý Cổ đông vui lòng để Phiếu lấy ý kiến trong phong bì dán kín, ghi rõ tiêu đề "Biểu quyết cho nội dung lấy ý kiến cổ đông bằng văn bản" trên bì thư để đảm bảo an toàn thông tin.

The opinion form must be put in a sealed envelope, write the title "Vote for the contents collecting shareholders' written opinion" on the envelope to ensure information security.

Quý Cổ đông vui lòng gửi Phiếu lấy ý kiến theo đúng quy định nêu trên.

Please submit this Opinion Form in accordance with the instruction above.

Nếu Quý Cổ đông không gửi Phiếu lấy ý kiến về Công ty, Phiếu lấy ý kiến của Quý Cổ đông sẽ được coi là phiếu không tham gia biểu quyết. Công ty sẽ thực hiện việc kiểm phiếu và ban hành Nghị quyết ĐHĐCĐ theo quy định của pháp luật.

If shareholders do not send this Opinion Form to the Company, your Opinion Form shall be deemed not participating in the voting. The Company will count forms and issue a Resolution of the General Meeting of Shareholders in accordance with the applicable laws.

VI. KIỂM PHIẾU VÀ CÔNG BỐ THÔNG TIN/ *VOTE-COUNTING AND INFORMATION DISCLOSURE*

Trình tự thủ tục thông qua Nghị quyết của Đại Hội đồng Cổ đông bằng hình thức lấy ý kiến bằng văn bản, vui lòng tham khảo Điều lệ hiện hành của Công ty Cổ phần Chứng khoán Phú Hưng tại website: https://www.phs.vn, phần về PHS, mục Quan hệ cổ đông.

For the sequence and procedures for passing the Resolution of the General Meeting of Shareholders by collecting shareholders' written opinion, please refer to the current Charter of Phu Hung Securities Corporation at website: https://www.phs.vn, tap About PHS, section Shareholder Relations.

Thời gian kiểm Phiếu lấy ý kiến dự kiến là một (01) ngày làm việc kể từ ngày kết thúc thời gian nhận Phiếu lấy ý kiến. Kết quả biểu quyết và Nghị quyết của Đại Hội đồng Cổ đông sẽ được công bố thông tin theo quy định của pháp luật.

The estimated time-limit for vote-counting is one (01) working day from the deadline for receiving the written opinion form. Voting results and Resolutions of the General

Meeting of Shareholders will be disclosed information in accordance with the applicable law.

Trân trọng cảm ơn./.

Sincerely./.

CỔ ĐÔNG/ NGƯỜI ĐƯỢC ỦY QUYỀN	**CHỦ TỊCH HỘI ĐỒNG QUẢN TRỊ**
SHAREHOLDER/ THE AUTHORIZED PERSON	**NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT**
(Ký và ghi rõ họ tên/ Signature and Full name)	*CHAIRMAN OF BOARD OF DIRECTORS*
	LEGAL REPRESENTATIVE
	S.G.P: 122 - C.T.C.P CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG P. TÂN MỸ - T.P HỒ CHÍ MINH
	ALBERT KWANG-CHIN TING

SOCIALIST REPUBLIC OF VIET NAM

Independence – Freedom – Happiness

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……., day …. month…. year 2026

POWER OF ATTORNEY

VOTING FOR COLLECTING SHAREHOLDERS' WRITTEN OPINIONS

To: **PHU HUNG SECURITIES CORPORATION**

1. THE AUTHORIZER:

Name of shareholder: ……

Shareholder code: ……

Personal identification No./ID card/Passport/Enterprise Registration Certificate No.: ……

……

Date of issue: ……Place of issue:……

Legal representative (with regards to institution): ……

Address: ……

Telephone: ……Fax: ……

Holding: ……shares

(In word: ……)

Number of shares authorized: ……shares

(In word: ……)

2. THE AUTHORIZED PERSON:

☐ **2.a. To specific individual/institutions:**

Name of institution/individual: ……

Personal identification No./ID card/Passport/Enterprise Registration Certificate No.: ……

Date of issue: ……Place of issue:……

Address: ……

Telephone: …… Fax: ……

☐ **2.b. To member of Board of Directors**

Name of individual: Mr. Chen Chia Ken

Position: Member of Board of Directors cum General Director

3. SCOPE OF AUTHORIZATION:

The authorized person has the full right to replace and vote on all issues that need to collect shareholders' opinions in writing of Phu Hung Securities Corporation in shareholders' written opinion form dated 17/11/2026.

The Power of Attorney is valid from the date of signing until the expiration of the time limit for opinion collection.

We hereby undertake all legal responsibilities arising from this power of attorney and commit to comply with prevailing regulations of the Company and the law.

THE AUTHORIZED PERSON	**THE AUTHORIZER**
(Sign, full name, and seal (for organizations))	*(Sign, full name, and seal (for organizations))*

Note:

- In case there is no authorized person (Item 2.a) to replace and vote on all issues that need to collect shareholders' opinions in writing, Shareholder can authorize a member of the Board of Directors of Company (Item 2.b).

- The Authorized person is not permitted to re-authorize to the third party.

PHU HUNG SECURITIES CORPORATION	**SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness**
No.: 02/2026/NQ-ĐHĐCĐ	*Ho Chi Minh City, day …. month 12 year 2026*

DRAFT

RESOLUTION OF THE GENERAL MEETING OF SHAREHOLDERS OF PHU HUNG SECURITIES CORPORATION

(Passed by way of collecting shareholders' written opinions)

Pursuant to:

- Law on Enterprises No. 59/2020/QH14;
- The current Charter of Phu Hung Securities Corporation;
- Submission No. 09/2026/TTr-HĐQT and No. 10/2026/TTr-HĐQT of the Board of Directors.
- Minutes of voting checking the results of shareholders's written opinions day…. month 12 year 2026.

RESOLVES TO

The General Meeting of Shareholders of Phu Hung Securities Corporation resolves to pass the following issues based on the vote-counting results:

RESOLUTION 1. PASS THE PROVISION OF CLEARING, SETTLEMENT SERVICES FOR SECURITIES TRANSACTIONS UNDER THE CENTRAL COUNTERPARTY (CCP) MECHANISM

The General Meeting of Shareholders resolves to passed the provision of clearing, settlement services for securities transactions under the Central Counterparty (CCP) mechanism as attachment.

RESOLUTION 2. PASS THE AMENDMENT OF CHARTER

The General Meeting of Shareholders resolves to passed the amendment of the Charter as attachment.

RESOLUTION 3. ORGANIZING IMPLEMENTATION

This resolution is effective from December ….., 2026.

The Board of Directors, the General Director, and relevant departments and individuals shall be responsible for implementing this Resolution.

ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS
AUTHORIZED BY THE CHAIRMAN OF THE BOARD OF DIRECTORS
MEMBER OF THE BOARD OF DIRECTORS CUM
GENERAL DIRECTOR

Mr. CHEN CHIA KEN

PHU HUNG SECURITIES CORPORATION	**SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness**
No.: 09/2026/TTr-HĐQT	Ho Chi Minh City, 17 November 2026

SUBMISSION FOR APPROVAL

Provision of clearing, settlement services for securities transactions under the Central Counterparty (CCP) mechanism

To: THE GENERAL MEETING OF SHAREHOLDERS OF PHU HUNG SECURITIES CORPORATION

- Pursuant to the Law on Securities No. 54/2019/QH14 dated 26 November 2019 as amended and supplemented by Law No. 56/2024/QH15;
- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated 17 June 2020, as amended and supplemented by Law No. 03/2022/QH15 and Law No. 76/2025/QH15;
- Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of certain articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025 of the Government, in which Clause 4, Article 151 of Decree No. 155/2020/ND-CP requires the provision of securities clearing, settlement services to be approved by the General Meeting of Shareholders;
- Pursuant to Circular No. 145/2026/TT-BTC dated 30 September 2026 of the Minister of Finance regulating the registration, depository, clearing and settlement of securities transactions;
- Pursuant to the current Charter of Phu Hung Securities Corporation.

The Board of Directors ("BOD") of Phu Hung Securities Corporation ("Company") hereby submits to the General Meeting of Shareholders ("GMS") for **approval the provision of clearing, settlement services for securities transactions under the Central Counterparty ("CCP") mechanism**, as follows:

1. Approval of the provision by the Company of clearing, settlement services for securities transactions under the CCP mechanism in accordance with the laws on securities.
2. Authorization to the BOD to perform the following tasks:
   - Decide on the selection and registration of the type of clearing member (direct clearing member or general clearing member) suitable to the Company's conditions and business needs;
   - Decide on and approve the implementation costs; decide on and perform the obligations to contribute to the Clearing Fund, clearing margin and other financial obligations of a clearing member in accordance with the laws;

- Organize the implementation of clearing, settlement operations for securities transactions under the CCP mechanism; organize the implementation of the clearing margin account model, the securities trading margin model;
- Sign, amend, supplement, submit, withdraw applications for the Certificate of Eligibility for Providing Securities Clearing, Settlement Services; applications for registration as a clearing member; contracts for becoming a clearing member; dossiers, minutes, documents relating to the connection with the securities clearing, settlement system; and applications for other relevant approvals required for the Company to provide clearing, settlement services for securities transactions with the State Securities Commission of Vietnam, the Stock Exchanges, the Vietnam Securities Clearing Company Limited, the Vietnam Securities Depository and Clearing Corporation and other competent authorities;
- Sign contracts on the provision of clearing, settlement services with non-clearing members (where the Company is a general clearing member) and other related contracts and documents;
- Organize and carry out all other detailed tasks necessary for providing clearing, settlement services for securities transactions in accordance with the regulations of the competent authorities, the laws and the Company's Charter.

3. The BOD may assign/authorize the Chairman of the BOD and/or the General Director to perform one or more of the tasks set out in Section 2 of this Submission, in accordance with the laws and the Company's Charter.

Respectfully submitted to the GMS for consideration and approval.

ON BEHALF OF BOARD OF DIRECTORS

CHAIRMAN

S.G.P: 122 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG
P. TÂN MỸ - T.P HỒ CHÍ MINH

ALBERT KWANG-CHIN TING

PHU HUNG SECURITIES CORPORATION	**SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness**
No.: 10/2026/TTr-HĐQT	Ho Chi Minh City, 17 November 2026

SUBMISSION

Amendment of the Charter

To: THE GENERAL MEETING OF SHAREHOLDERS OF PHU HUNG SECURITIES CORPORATION

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated 17 June 2020;
- Pursuant to Law No. 76/2025/QH15 dated 17 June 2025 amending and supplementing a number of articles of the Law on Enterprises;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated 26 November 2019 and the regulations adjusting the Law on Securities;
- Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities and the regulations adjusting this Decree;
- Pursuant to the current Charter of Phu Hung Securities Corporation.

The Board of Directors ("BOD") of Phu Hung Securities Corporation ("PHS") hereby submits to the General Meeting of Shareholders ("GMS") the proposal for **approval of the amendment of the Charter**, as follows:

1. Update of head office address

   On 29 May 2026, the Company was granted by the State Securities Commission the Adjustment License to the Securities Business Establishment and Operation License No. 61/GPĐC-UBCK, recording the head office address following the change in administrative boundaries.

   Updated head office address: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.

2. Change of Charter Capital

   Pursuant to Resolution of the Annual General Meeting of Shareholders No. 01/2026/NQ-ĐHĐCĐ dated 16 April 2026, the General Meeting of Shareholders approved the plan for the private placement of shares, the plan for the use of proceeds, and the authorization of the Board of Directors to implement the private placement plan (Resolution 9). The Company has completed the procedures for the private placement and the registration of the change in charter capital with the State Securities Commission, the Stock Exchanges and other competent authorities.

   On 17 September 2026, the Company was granted by the State Securities Commission Adjustment License No. 106/GPĐC-UBCK, recording the Company's charter capital as:

VND 2,500,098,190,000 (in words: Two thousand five hundred billion ninety-eight million one hundred ninety thousand Vietnamese dong).

3. The Company updates the new head office address and charter capital stated above into the amended and supplemented Charter, to be signed by the Chairman of the Board of Directors in accordance with Article 72.2(b) of the Company's Charter.

Details of all amendments are set out in the Comparison Table and the Draft Charter attached to this submission.

Respectfully submitted to the GMS for consideration and approval.

ON BEHALF OF BOARD OF DIRECTORS

CHAIRMAN



ALBERT KWANG-CHIN TING