SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness

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NOTICE OF TRANSACTION IN SHARES OF AFFILIATED PERSON OF INSIDER

To:

- State Securities Commission
- Phu Hung Securities Corporation (PHS)
- 1. Information on organization that conducts transaction:
 - 1.1 Name of organization: VU THAI INVESTMENT CONSULTANT LIMITED (Vu Thai)
 - 1.2 Nationality: Vietnam
 - 1.3 Business Registration Certificate No.: 0102340904

Date of issue: September 13th, 2017

Place of issue: Department of Planning and Investment of Hanoi City

- 1.4 Contact address: 14th Floor, Cornerstone Building, 16 Phan Chu Trinh, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi City
- 1.5 Telephone:

Fax:

- 1.6 Relationship with the public company: affiliated person of insider
- 2. Information about insider:
 - 2.1 Name of insider: Mr. Wu, Jin-Jeng
 - 2.2 Nationality: Taiwanese
 - 2.3 Passport No.: 300740613
 - 2.4 Permanent address: Room 201, 2nd Floor, No. 10 Daxiang Street, Xitun District, Taichung City 407, Taiwan, R.O.C
 - 2.5 Telephone:

Fax:

- 2.6 Current position in the public company: member of Board of Directors
- 2.7 Relationship of organization executing transaction with insider: organization executing transaction (Vu Thai) is organization where insider (Mr. Wu, Jin-Jeng) is legal representative
- 2.8 Number, ownership proportion of shares held by the insider: 0 share (0%)

- 3. Securities code: PHS
- 4. Trading account with shares mentioned in item 3 above: account No. 022C015689 opened at PHS.
- 5. Number, ownership proportion of shares held before transaction: 5,814,000 shares (11.63%)
- 6. Number of shares was registered to purchase: 5,780,723 shares
- 7. Number, ownership proportion of shares is expected to hold after the transaction: 11,594,723 shares (16.56%)
- 8. Purpose of transaction: participating in PHS's private placement
- 9. Mode of transaction: purchasing in PHS's private placement
- 10. Expected transaction period: from December 03rd, 2018 to December 28th, 2018

ON BEHALF OF VU THAI INVESTMENT CONSULTANT LIMITED

Legal representative

TRÁCH NHIỆM HỮU HẠN Z

VŨ THÁI

Mr. Wu, Jin-Jeng