SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness

Ho Chi Minh City, Movember. 30th 2018

NOTICE OF TRANSACTION IN SHARES OF AFFILIATED PERSON OF INSIDER

To:

- **State Securities Commission**
- Phu Hung Securities Corporation (PHS)
- 1. Information on organization that conducts the transfer:
 - 1.1 Name of organization: FRESHFIELDS CAPITAL CORPORATION (Freshfields)
 - 1.2 Nationality: Taiwan
 - 1.3 Registration of ownership No.: CB3747
 Date of issue: January 05th, 2018
 Place of issue: Vietnam Securities Depository
 - 1.4 Contact address: 18F., No.4, Sec. 1, Zhongxiao W. Rd., Zhongzheng District, Taipei City 100, Taiwan (R.O.C.)
 - 1.5 Telephone:

Fax

- 1.6 Relationship with the public company: affiliated person of insider
- 2. Information about insider:
 - 2.1 Name of insider: Mr. Albert Kwang-Chin Ting
 - 2.2 Nationality: British
 - 2.3 Passport No.: 554043014
 - 2.4 Permanent address: 18F, No. 4, Sec 1, Chung Hsiao West Rd, Taipei, Taiwan
 - 2.5 Telephone:

Fax:

- 2.6 Current position in the public company: Chairman of Board of Directors
- 2.7 Relationship of organization executing transaction with insider: organization executing transaction (Freshfields) is organization where insider (Mr. Albert Kwang-Chin Ting) is legal representative
- 2.8 Number, ownership proportion of shares held by the insider: 0 share (0%)
- 3. Securities code: PHS

Appendix 10 - Circular 155/2015

- 4. Trading account with shares mentioned in item 3 above: account No. 022FCB3747 opened at PHS.
- 5. Number, ownership proportion of shares held before transaction: 4,860,000 shares (9.72%)
- 6. Number of shares was registered to purchase: 1,944,000 shares
- 7. Number, ownership proportion of shares is expected to hold after the transaction: 6,804,000 shares (9.72%)
- 8. Purpose of transaction: participating in PHS's private placement
- 9. Mode of transaction: purchasing in PHS's private placement
- 10. Expected transaction period: from December 03rd, 2018 to December 28th, 2018

ON BEHALF OF FRESHFIELDS CAPITAL CORPORATION

Legal representative

Mr. Albert Kwang-Chin Ting