## SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness

Hanoi, December O. th., 2018

## REPORT ON CHANGES IN OWNERSHIP OF MAJOR SHAREHOLDERS

To:

- **State Securities Commission**
- Phu Hung Securities Corporation
- 1. Information of institutional investor:
  - 1.1 Name of institutional investor: PHU THINH INVESTMENT CONSULTANT LIMITED
  - 1.2 Nationality: Vietnam
  - 1.3 Certificate of investment No.: 011022001147
    Date of issue: April 24<sup>th</sup>, 2015
    Place of issue: People's Committee of Hanoi City
  - 1.4 Contact address: 14<sup>th</sup> Floor, Cornerstone Building, 16 Phan Chu Trinh, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi City
  - 1.5 Telephone:

Fax:

Email:

Website:

- 2. Affiliated person: none
- 3. Code of share owned: PHS
- 4. Trading account with shares mentioned in item 3: account No. 022C060766 opened at PHS.
- 5. Number, ownership proportion of shares held before the transaction: 6,049,445 shares (12.10%)
- 6. Number of shares was purchased: 880,555 shares
- 7. Number, ownership proportion of shares hold after the transaction: 6,930,000 shares (9.90%)
- 8. Number, ownership proportion of shares currently held by the affiliated person: 0 share (0%)
- 9. Number, ownership proportion of shares held by institutional investor and affiliated person after the transaction: 6,930,000 shares (9.90%)
- 10. Reasons for changing in ownership: participating in PHS's private placement

- 11. Date of change in ownership: ... December. Ob ...,2018
- 12. Other significant changes (if any): none

ON BEHALF OF PHU THINH INVESTMENT CONSULTANT LIMITED

102200114 Legal representative

CÔNG TY

TRÁCH NHIỆM HỮU HẠN TƯ VẪN ĐẦU TỦ PHÚ THỊNH

Ms Lan, Wan-Chen