

No: 56/2018/PHS-BTKCT

Re: *Disclosing the information related
to the AGMS dated 17 April 2018*

Ho Chi Minh City, 28 March 2018

**INFORMATION DISCLOSURE ON WEBSITE OF STATE SECURITIES
COMMISSION AND THE STOCK EXCHANGES**

**To: STATE SECURITIES COMMISSION;
HA NOI STOCK EXCHANGE;
HO CHI MINH STOCK EXCHANGE;**

Name: PHU HUNG SECURITIES CORPORATION (“PHS”)

Headquarters: 3rd Floor, CR3-03A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7,
Ho Chi Minh City.

Phone: (028) 5413 5479

Fax: (028) 5413 5472

Person to implement disclosure of information: Mr. Chen Chia Ken

Type of Information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

PHS sincerely discloses the finalising on List of Shareholders attending the Annual General Meeting of Shareholders (“AGMS”) dated 17 April 2018 and the related information, as follows:

1. Voting ratio: 01 share – 01 voting right;
2. Meeting time: 02:00 PM, 17 April 2018;
3. Venue: 5th Floor, CR3-05A, 109 Ton Dat Tien Street, Tan Phu Ward, District 7, Ho Chi Minh City, Vietnam;
4. The content of AGMS dated 17 April 2018: to discuss and approve the assignments which is under power of the Annual General Meeting of Shareholders.

These information is disclosed on PHS’s website at <https://www.phs.vn>, tab Shareholders Information.

PHS commits the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

Attached documents:

- Resolution No. 02/27_0318/NQ-HDQT dated 28 March 2018

Recipient:

- As above;
- Archive.

**PERSON TO IMPLEMENT DISCLOSURE OF
INFORMATION**



Mr. CHEN CHIA KEN



Ref. No.: 02/27_0318/NQ-HĐQT

Ho Chi Minh City, March 28th, 2018

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2016 – 2019)

- Pursuant to Law on Enterprises 2014;
- Pursuant to the current Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/27_0318/BB-HĐQT dated March 28th, 2018 of Board of Directors (BOD) of the Company;

RESOLVE:

Article 1: Resolution 1

The Board of Directors passed the Report on result of capital injection up to VND 500,000,000,000 (five hundred billion Vietnam dong), main details as below:

1. As approved by Extra General Meeting of Shareholders (“**EGMS**”) dated October 30th, 2017, the relevant departments which were in charge of capital injection project up to VND 500 billion submitted the dossier of private share issue and dossier of capital injection to State Securities Commission (“**SSC**”).
2. On December 25th, 2017, SSC approved the dossier of private share issue of PHS.
3. On January 11th, 2018, SSC issued the revised license which updated the new charter capital of PHS being VND 500,000,000,000 (Five hundred billion Vietnam Dong).

Article 2: Resolution 2

The Board of Directors passed the Agenda and documents using in the Annual General Meeting of Shareholders (“**AGMS**”) dated April 17th, 2018, main details as below:

1. Agenda of the AGMS dated April 17th, 2018.
2. The list of shareholders attending the AGMS finalized on 28 March 2018.
3. Documents sent to shareholders to attend the AGMS dated April 17th, 2018.
 - 3.1 Invitation Letter for attending AGMS dated April 17th, 2018;
 - 3.2 POA for the authorized person of shareholders to attend the AGMS dated April 17th, 2018.
 - 3.3 Agenda of the AGMS dated April 17th, 2018.
4. The Voting guidelines of AGMS dated April 17th, 2018.
5. The Meeting Minutes and Resolution of AGMS dated April 17th, 2018.

Article 3: Resolution 3

The Board of Directors passed the Audited Financial Statement of 2017.

Article 4: Resolution 4

The Board of Directors passed the Report of the Board of Directors on the Business performance in 2017.

Article 5: Resolution 5

The Board of Directors passed the Report on Corporate Governance of PHS of 2017.

Article 6: Resolution 6

The Board of Directors passed the Dividend of 2017, main details as below:

- Profit after tax in 2017: 17,325,788,879 VND
- Retain profit up to December 31st, 2017: 8,414,936,436 VND
- Dividend: 0 VND

Article 7: Resolution 7

The Board of Directors passed the selection of Auditor in 2018, main details as below:

- Auditor: KPMG Viet Nam Co.,Ltd
- Address: 10F, Sun Wah Tower, 115 Nguyen Hue, District 1, HCMC.
- Telephone: 028 3821 9266
- Fax: 028 3821 9267

Article 8: Resolution 8

The Board of Directors passed the new organization chart of PHS.

Article 9: Resolution 9

The Board of Directors passed the addition and amendment of Company Charter.

Article 10: Resolution 10

The Board of Directors passed the addition and amendment of Internal Regulation on Corporate Governance of PHS.

Article 11: Resolution 11

The Board of Directors passed the Report on registration & depository of stock code of PHS and the authorization for BOD to do assignments of registration & depository of stock code of PHS at VSD.

Article 12: Resolution 12

The Board of Directors passed the Report on trading registration of stock PHS on UpCom and the authorization for BOD to do assignments of trading registration of stock PHS at on UpCom.

Article 13: Resolution 13

The Board of Directors passed the POA for Mr. Chen Chia Ken (Jacky), Member of BOD and General Director, to be the Meeting Chairman and to sign the documents of the Annual General Meeting of Shareholders dated April 17th, 2018.



Article 14: Resolution 14

The Board of Directors passed the POA for Mr. Chen Chia Ken (Jacky), Member of BOD and General Director, to sign documents related to the registration and depository of PHS's stock at VSD and registration of PHS's stock on UpCom.

Article 15: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As Article 15;
- Archived at BOD Secretary .



**For and on behalf of B.O.D.
CHAIRMAN**

Albert Kwang-Chin Ting

